



**Agenda Item No. 8  
November 7, 2012 Meeting**

**DATE:** October 26, 2012

**TO:** Children and Families Commission of Orange County

**FROM:** Christina Altmayer, Interim Executive Director

A handwritten signature in black ink, appearing to read "Christina Altmayer", is written over the printed name.

**SUBJECT:** Approve Capacity Building Grant Allocations

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**SUMMARY**

At the June 2012 meeting of the Children and Families Commission of Orange County, the Commission approved a Capacity Building Grant Cycle. The Request for Applications (RFA) was released on June 30, 2012, two applicant conferences were held to address potential applicant questions, and completed applications were due to the Commission on August 17, 2012. The review of the applications has been completed and recommendations for funding allocations are included in this report.

The Capacity Building Grant Cycle focused on one-time funding that will support capital projects for service expansion and new program development. The allocated funding provides for up to \$3.5 million divided into two categories: \$1.5 million for New Program Expansion and \$2 million for Capital Facility Expansion. The review panel recommends a slight adjustment to the funding allocations that would increase the New Program Expansion allocation by \$80,000 and reduce the Capital Facility Expansion by the same amount, with a total recommended funding allocation of \$3,390,000. The balance of the funding would be available for evaluation and technical assistance related to sustainability.

**Capacity Building Grant Cycle**

Forty-Five (45) Capacity Building Grant applications were received by the Commission, totaling over \$6,000,000 in requested funding. Of the 45 applications received, nine are recommended for Capital Facility Expansion funding for a recommended allocation of \$1,810,000, and 18 are recommended for New Program Expansion funding for a recommended allocation of \$1,580,000.

Consistent with prior Commission direction, the capacity building projects must focus on the expansion of services to children ages 0-5 that meet documented community needs. Specifically, the RFA identified eligible purposes for capacity building awards:

- The expansion of services can be either through a new facility or expansion of an existing facility, expanded services to incorporate new programs, one-time investments to reduce ongoing costs, or launching new demonstration projects to better meet the needs of children 0-5.

**Commissioners**

**Interim Executive Director**

- Projects for existing funded programs will need to demonstrate how it will reduce the ongoing demand on the Commission's budget.
- Projects eligible for other Catalytic Investment opportunities are not eligible for Capacity Building grants.

The Grant Review Panel was comprised of Commissioners Katherine Ahn and Sandy Pierce and representatives from the Commission's Community Advisory Commission, and Orange County Health Care and Social Services Agencies. The panel's recommendations are submitted as Attachments 1A and 1B for Commission approval. Organizations that are not funded will be invited to contact Commission staff to receive feedback on their applications. Additionally, staff is recommending that further work be done with existing Commission grantees that submitted unsuccessful grant applications to provide technical assistance specifically related to sustainability.

The final recommendation made by the review panel was to use a portion or all of the remaining funding to evaluate the impact of the Commission's investments in these types of one-time capacity building grants. This evaluation of the capacity building grant program would specifically look at whether these investments result in sustainable and impactful increases in services for children 0-5. An evaluation methodology will be developed and will include conducting reviews and site visits with grantees six to 12 months post-funding to determine the continued impact of the one-time funding.

Commission staff will notify all agencies awarded funding and begin the process of contract development. All conditions indicated on Attachments 1A and 1B will be included in the executed contracts. Additionally, each agency will be required to complete a plan that focuses the agency's investment and efforts in the business planning for the expanded services and/or facility expansion. The plans will need to incorporate strategies including, but not limited to, financial planning, management plan, market analysis, service delivery, and evaluation.

#### **STRATEGIC PLAN & FISCAL SUMMARY:**

The proposed Agreements have been specifically reviewed in relation to the Strategic Plan, and the recommended actions are designed to further the Capacity Building Goal, among others. Funding for this agenda item is available in the FY 2012/13 Budget in the Capacity Building Grants category.

#### **RECOMMENDED ACTIONS:**

1. Adopt resolution (Attachment 2) authorizing the Interim Executive Director, or designee, to enter into Agreements for terms of 18 months and for the amounts and conditions specified in Attachments 1A and 1B.
2. Approve a set-aside of up to \$110,000 for the evaluation of the Commission's investments in capacity building projects.

**ATTACHMENTS:**

1. Recommendations for Capacity Building Funding:
  - Attachment 1A – Capital Facility Expansion
  - Attachment 1B – New Program Expansion
2. Resolution to enter into Capacity Building Grant Agreements

**Contact:** Kim Goll

## CAPITAL EXPANSION

Contract Number	Organization Name	Amount Requested	Recommended Award	Conditions/Comments
F01-CB-12	The Blind Children's Learning Center of Orange County	\$232,127	\$150,000	Award amount reduced by the amount of playground construction
F02-CB-12	Discovery Science Center of Orange County	\$250,000	\$250,000	Conditional on Commission approval for all education messaging in exhibits, including oral health and nutritional messages, expanded access for low income families, and collaboration with the OC STEM Initiative
F03-CB-12	Families Forward	\$250,000	\$250,000	Conditional on reducing ongoing Commission funding for Rapid Rehousing
F04-CB-12	Hands Together, A Center for Children	\$249,600	\$250,000	Conditional on focusing on long term sustainability
F05-CB-12	The Illumination Foundation	\$250,000	\$250,000	Up to \$45,000 available for a planning grant, plan must include strategies for purchasing building, sustainability planning, and service planning with other local clinics in the geographic area. The remaining \$205,000 will be available for capital expenditures contingent on approval of a comprehensive plan.
F06-CB-12	Fullerton Interfaith Emergency Service, dba Pathways of Hope	\$210,000	\$210,000	Conditional on focusing services on families with children ages 0-5.
F07-CB-12	People for Irvine Community Health, dba 2-1-1 Orange County	\$148,104	\$150,000	Ensure that grant award is proportional to 0-5 population served
F08-CB-12	Serve the People, Inc.	\$250,000	\$250,000	Up to \$45,000 available for planning grant, plan must include exploration of partnerships with dental providers in the surrounding community and sustainability strategies that include matching funds for older pediatric patients. The remaining \$205,000 will be available for capital expenditures contingent on approval of a comprehensive plan.
F09-CB-12	Western Youth Services	\$47,121	\$50,000	
<b>TOTAL</b>			<b>\$1,810,000</b>	

## NEW PROGRAM EXPANSION

Contract Number	Organization Name	Amount Requested	Recommended Award	Conditions/Comments
F10-CB-12	Children's Home Society of California	\$100,000	\$100,000	Conditional on explanation on how will they engage parents and ensuring developmental screenings are completed at age appropriate intervals.
F11-CB-12	KOCE-TV Foundation, dba PBS SoCal	\$100,000	\$100,000	Conditional on integrating of oral health and nutritional education, OC STEM initiative information and engagement of provider community.
F12-CB-12	Project Access, Inc.	\$63,069	\$65,000	Conditional on lengthening the classroom time for each child
F13-CB-12	Providence Speech and Hearing Center	\$100,000	\$100,000	Conditional on priority given to early care providers
F14-CB-12	Rancho Santiago Community College District (RSCCD)	\$100,000	\$100,000	Conditional on integrating a scholarship or sliding fee model or other opportunities to increase access to low income families
F15-CB-12	Access California Services	\$100,000	\$100,000	Conditional on focusing strategies on the 0-5 population, integration of oral health and nutritional education, and ensuring utilization of partnership with Children's Health Initiative for insurance enrollment.
F16-CB-12	Child Guidance Center, Inc.	\$100,000	\$100,000	Conditional on linkage to other service providers that target the Veteran community
F17-CB-12	Human Options, Inc	\$73,613	\$70,000	
F18-CB-12	Orange County Child Abuse Prevention Center, Inc.	\$100,000	\$100,000	
F19-CB-12	Olive Crest	\$100,000	\$100,000	
F20-CB-12	Thomas House Temporary Shelter	\$100,000	\$100,000	Conditional on focusing services on 0-5 children and sustainability plan to continue rental assistance program
F21-CB-12	Korean Community Services, Inc., dba KCS HEALTH CENTER	\$100,000	\$100,000	Conditional on partnering with mentor clinic and Coalition of Community Health Centers on reimbursement, explore relationships with surrounding clinics and Commission funded centralized pediatrician program.
F22-CB-12	People for Irvine Community Health, dba 2-1-1 Orange County	\$77,621	\$20,000	Conditional on development of a plan that includes description of current unmet need including a review of existing 211 data on calls related to breastfeeding and exploration of partnership with major birthing hospitals and community providers.
F23-CB-12	Public Health Foundation Enterprises, Inc.	\$100,000	\$100,000	
F24-CB-12	Dr. Riba's Health Club (fiscal intermediary is Volunteer Center of Greater Orange County, dba OneOC)	\$250,000	\$100,000	Conditional on continued work on the documentation of outcomes and sustainability for the program.
F25-CB-12	United Cerebral Palsy Association of Orange County	\$100,000	\$100,000	Implementation in conjunction with Commission's Vision planning.
F26-CB-12	Boys & Girls Cubs of Garden Grove, Inc.	\$100,000	\$50,000	Conditional on being added to County fiscal leveraging plan and reduction in future Commission funding awards
F27-CB-12	Speech and Language Development Center, Inc.	\$76,784	\$75,000	Conditional on using a contractor with appropriate expertise in business development, plan focuses on Orange County and specific to children ages 0-5
<b>Total</b>			<b>\$1,580,000</b>	

**CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY**

**RESOLUTION NO. \_\_\_-12-C&FC**

**November 7, 2012**

**A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY DIRECTING THE INTERIM EXECUTIVE DIRECTOR OR DESIGNEE AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AGREEMENTS WITH DESIGNATED ORGANIZATIONS TO PROVIDE CAPACITY BUILDING SERVICES; AND, AUTHORIZING APPROVAL AND EXECUTION OF SUCH AGREEMENTS ON BEHALF OF THE COMMISSION**

**WHEREAS**, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

**WHEREAS**, Commission adopted its Strategic Plan to define how funds authorized under the Act and allocated to the Commission should best be used to meet the critical needs of Orange County’s children prenatal to five years of age as codified in the Act; and

**WHEREAS**, the Executive Director and Commission Counsel have prepared a standard Master Agreement for Services (“Master Agreement”), which was approved by the Commission; and

**WHEREAS**, the Commission desires to enter Agreements with each of the Organizations, hereinafter referred to as the “Contractor” in each of the Agreements identified in the staff report for the November 7, 2012 Commission meeting to provide Capacity Building Services for the terms and in the amounts and on the conditions as described in Attachments 1A and 1B herein; and

**WHEREAS**, each Contractor desires to enter into the applicable Agreements in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the applicable Agreements; and

**WHEREAS**, Commission has reviewed the staff report for the November 7, 2012 Commission meeting relating to the scopes of services to be provided and hereby finds and determines that the proposed Agreements are in furtherance of and consistent with the Commission’s Strategic Plan; and

**WHEREAS**, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Agreements with each of the Contractors for the terms and in the amounts and on the conditions as specified in Attachments 1A and 1B to the November 7, 2012 staff report for this Agenda Item; and

**NOW, THEREFORE BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:**

**Section 1** Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

**Section 2** Commission authorizes the Interim Executive Director, or designee, and Commission Counsel to prepare and negotiate Agreements with each of the Contractors as described in Attachments 1A and 1B to the November 7, 2012 staff report for this Agenda Item to provide Capacity Building Services for the terms and in the amounts and on the conditions, consistent with the November 7, 2012 staff report and scope of services referenced therein; and

**Section 3** The form of any Agreements with the Contractors, for the terms and in the amounts specified in Attachments 1A and 1B to the November 7, 2012 staff report for this Agenda Item shall be substantially similar to the form of the standard Master Agreement, subject to minor, non-substantive revisions as reviewed and approved by the Interim Executive Director, or designee, and Commission Counsel. The approval by the Interim Executive Director, or designee, of the Agreements shall be conclusively evidenced by the execution of such Agreements by the Commission Chair and delivery thereof to the Commission Clerk.

**Section 4** Commission hereby approves the Agreements with each of the Contractors as described in Attachments 1A and 1B to the November 7, 2012 staff report for this Agenda Item to provide Capacity Building Services for the terms and in the amounts and on the conditions as specified in the November 7, 2012 staff report for this Agenda Item.

**Section 5** The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Agreements on behalf of the Commission.

**Section 6** A copy of each final Agreement when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Agreement shall be placed on file in the office of the Clerk of the Commission.

**Section 7** In addition to the authorization of Section 2 above, the Interim Executive Director, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreement(s), (ii) to cause the issuance of warrants, (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s), and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such agreement(s) in the furtherance thereof.

**Section 8** The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on November 7, 2012 to wit:

AYES Commissioners: \_\_\_\_\_

NOES: Commissioner(s): \_\_\_\_\_

EXCUSED: Commissioner(s): \_\_\_\_\_

ABSTAINED: Commissioner(s) \_\_\_\_\_

\_\_\_\_\_  
CHAIR

STATE OF CALIFORNIA )  
 )  
COUNTY OF ORANGE )

I, SUSAN NOVAK, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

**IN WITNESS WHEREOF**, I have hereto set my hand and seal.

\_\_\_\_\_  
SUSAN NOVAK  
Clerk of the Commission, Children and Families Commission of  
Orange County, County of Orange, State of California

Resolution No: \_\_-12-C&FC

Agenda Date: November 7, 2012

Item No. \_\_



I certify that the foregoing is a true and correct copy of the Resolution adopted by the

SUSAN NOVAK, Clerk of the Commission

By: \_\_\_\_\_  
Deputy

**EXHIBIT A TO RESOLUTION OF COMMISSION**

(Attach copy(ies) of final executed Agreements)