



Catalytic Investment Update

**Agenda Item No. 5
October 3, 2012**

Catalytic Investment

- February 2012 Commission allocated \$45 million in Catalytic investments (Round I)
 - \$32 million have executed agreements
 - Healthy Smiles/Children’s Dental Health- both agreements are executed, services are continuing and service expansion options are being explored
 - THINK Together/Early Literacy/Math- agreement has been executed and security is in place, services are continuing
 - UCI Foundation/Early Developmental Services Autism Program- agreement has been executed and initial payment has been made to UCI Foundation, implementation of service expansion underway
 - Remaining three agreements
 - VISTA \$500,000
 - HomeAid /Emergency Shelter \$6,250,00 & Casa Teresa \$750,000
 - Pretend City/ Healthy Child Development \$5,500,000
- March 2012 Commission approved initial planning and analysis for the Round II Catalytic Investments, updates are included in Attachment 2.

Status Round 1 Catalytic Allocations

Program	Funding Allocation	Executed	Status
Children's Dental Program	\$20 million	X	Both catalytic agreements (\$17 million for ongoing services and \$3 million for Residency and Residency Retention programs) are executed.
Early Developmental Services/Autism Program	\$7 million	X	Agreement with University of California Irvine Foundation is executed and first payment allocation will be completed by September 30, 2012. This program successfully met the \$7 million match requirement with a grant from the Thompson Family Foundation.
Year Around Emergency Shelter	\$7 million		HomeAid is the lead entity to identify potential sites and providers to expand emergency shelters. Draft agreement is being review by HomeAid and they are in the process of selecting a fiscal sponsor. A consulting firm has completed a review of all available multi-tenant properties to identify potential options for a family emergency shelter. Casa Teresa agreement is finalized and pending signatures and filing of documents related to security for funding.
Early Literacy & Math	\$5 million	X	Agreement with THINK Together was successfully executed. Countywide early literacy services will be sustained for 10 years through this partnership.
Healthy Child Development	\$5.5 million		This catalytic award is designed to expand early childhood development services through a partnership with Pretend City. Pretend City has been working with the Urban Land Institute; Orange County District Council to identify potential site opportunities. Staff is continuing to work with Pretend City on the site planning and prepare an agreement for execution.
VISTA/AmeriCorps	\$500,000		Request for Application process for new administrative partner is complete and proposals are in the process of being reviewed. Staff will present options for Commission consideration at the November meeting.
TOTAL	\$45 million	\$32 million	

Remaining Catalytic Agreements

- VISTA
 - Scheduled for Commission action at November 2012 meeting
- HomeAid
 - Commission staff has continued with developing agreement with Casa Teresa (for first shelter project) and HomeAid for remaining catalytic funds
 - Casa Teresa is working to provide security for the Commission funding
 - HomeAid has draft agreement for review and pending identification of fiscal sponsor/intermediary



Remaining Catalytic Agreements

- Pretend City
 - Urban Land Institute, Technical Assistance Panel completed
 - Substantiated broad regional appeal
 - Identified target geographic locations
 - Irvine, Tustin, Costa Mesa, and Santa Ana
 - Supported expansion of earned revenue opportunities
 - Identified a timeline of 24 to 36 to build or retrofit months once a site has been located
 - Developed an estimated cost of \$24 million for new facility (estimated 65,000 square feet and 5 acre parcel)
 - Initial implementing actions
 - Extended current lease to accommodate estimated timeline
 - Current lease ends January 2014
 - Under negotiation for lease extension; potential for 2017
 - Begin a campaign to assess support from targeted cities





**Agenda Item No. 5
October 3, 2012 Meeting**

DATE: September 24, 2012

TO: Children and Families Commission of Orange County

FROM: Christina Altmayer, Interim Executive Director 

SUBJECT: Status Report on Catalytic Investments

SUMMARY:

This item provides a summary status report on the catalytic funding awards approved at the February and March 2012 Commission meetings. The Commission authorized an allocation of \$45 million to six catalytic projects: Children's Dental Health, Early Literacy/Math, Early Developmental Services/Autism Program, VISTA (Volunteers in Service to America), Healthy Child Development (Resources for Children and Families), and Year Around Emergency Shelter. Progress updates on each of the projects are included in Attachment 1. Additionally, the Commission authorized initial implementing actions on a second round of catalytic investments that included Partnership for Children's Health, Community Based Prevention Programs, Capacity Building funding cycle, and Nutrition and Fitness. Progress updates on each of these projects are included in Attachment 2.

Status of Catalytic Investment Projects

Commission staff has been working on the Round 1 catalytic agreements and have executed three of the six agreements and committed 73 percent of the funding (\$32 million). The executed agreements include the Children's Dental agreements (Healthy Smiles), Early Literacy/Math (THINK Together), and the Early Developmental Services/Autism program. Commission staff will regularly monitor program status to ensure all conditions of funding are met and implemented consistent with the terms and conditions of the agreement.

The remaining three agreements include the Emergency Shelter/HomeAid agreement, Healthy Child Development (Pretend City), and VISTA. Although these agreements have not been executed there has been significant progress including: finalizing the agreement on the first emergency shelter project with Casa Teresa, finishing the Urban Land Institute site identification work, and lastly implementing a Request for Application for the VISTA program community partner. Commission staff will be returning in November with recommendations related to the VISTA program for the Commission's consideration and direction.

Although work plans have been developed for all Round 2 Catalytic Projects, the Capacity Building Funding program is the only component of the Round 2 catalytic investments that has been authorized for full implementation to date. Commission staff is currently reviewing the applications and the panel will be convening after the October Commission meeting to make recommendations for funding that will be considered by the Commission in November. As

Commissioners

Interim Executive Director

indicated, staff will be returning with project status updates and recommendations for implementation for the remaining Round 2 projects over the next few months.

STRATEGIC PLAN & FISCAL SUMMARY

This agenda item is consistent with all Strategic Plan goals. The Funding Allocation Plan reallocates the AB 99 payment in the adopted FY 2011/12 Budget and is reflected in the FY 2012/13 Budget.

PRIOR COMMISISON ACTIONS:

- March 2012 – Approved implementing actions for Round 2 Catalytic Investments
- February 2012 – Authorized funding allocation for Round 1 Catalytic Investments
- January 2012 – Approved Funding Allocation Plan including funding level of \$45 million for Catalytic Investments

RECOMMENDED ACTION:

Receive Catalytic Investment Progress Report

ATTACHMENTS:

1. Commission Round 1 Catalytic Investments Update
2. Commission Round 2 Catalytic Investments Update

Contact: Kim Goll

Status Round 1 Catalytic Allocations

Program	Funding Allocation	Executed	Status
Children's Dental Program	\$20 million	X	Both catalytic agreements (\$17 million for ongoing services and \$3 million for Residency and Residency Retention programs) are executed.
Early Developmental Services/Autism Program	\$7 million	X	Agreement with University of California Irvine Foundation is executed and first payment allocation will be completed by September 30, 2012. This program successfully met the \$7 million match requirement with a grant from the Thompson Family Foundation.
Year Around Emergency Shelter	\$7 million		HomeAid is the lead entity to identify potential sites and providers to expand emergency shelters. Draft agreement is being review by HomeAid and they are in the process of selecting a fiscal sponsor. A consulting firm has completed a review of all available multi-tenant properties to identify potential options for a family emergency shelter. Casa Teresa agreement is finalized and pending signatures and filing of documents related to security for funding.
Early Literacy & Math	\$5 million	X	Agreement with THINK Together was successfully executed. Countywide early literacy services will be sustained for 10 years through this partnership.
Healthy Child Development	\$5.5 million		This catalytic award is designed to expand early childhood development services through a partnership with Pretend City. Pretend City has been working with the Urban Land Institute; Orange County District Council to identify potential site opportunities. Staff is continuing to work with Pretend City on the site planning and prepare an agreement for execution.
VISTA/AmeriCorps	\$500,000		Request for Application process for new administrative partner is complete and proposals are in the process of being reviewed. Staff will present options for Commission consideration at the November meeting.
TOTAL	\$45 million	\$32 million	

Status Round 2 Catalytic Projects

Round 2 Catalytic Investment	Program Summary	Potential Funding Allocation	Funding Authorized to Date	Comments
Capacity Building Grant Program	Provide opportunity for current and former grantees to apply for one-time funding that meets catalytic criteria.	\$3.5 million	\$3.5 million	Capacity Building grant RFA was released in July 2012. Applications are currently under review and funding recommendations will be presented to the Commission at the November Commission meeting.
Partnership for Children's Health	Develop a community board with supporting resources to attract national foundations and federal grants for Commission programs.	Up to \$3 million	\$175,000	Public Health Foundation Enterprises (PHFE) serves as fiscal sponsor for project planning effort. A consultant has been engaged to conduct a feasibility analysis and to develop strategies for the Commission's consideration. The Commission will receive a presentation on preliminary recommendations at the November Commission meeting.
Prevention Services Project	In partnership with Social Services Agency, develop local plan/program prototype to support the sustainability of prevention and child welfare programs in anticipation of State Realignment and Federal funding. Design program strategies that focus on improving outcomes for children through better integration and collaboration among service providers.	\$500,000 to include planning phase and initial demonstration project	\$15,000	A consultant with specific expertise in State funding streams for prevention services is researching potential strategies and facilitating a planning process in collaboration with the County Social Services Agency including representatives from prevention service agencies.
Nutrition and Fitness	Support community based nutrition and fitness program and breastfeeding promotion. Potential funding allocation includes \$150,000 for breastfeeding promotion and \$350,000 for community based nutrition and fitness.	\$500,000	\$25,000	Commission consultant with experience on the Commission's prior nutrition program (LEAN Start) has been engaged to implement project work plan.
TOTAL		\$7.5 million	\$3,715,000 million	