

Budget Issues



### **BUDGET ISSUES**

For the budget issues discussed below, the County Executive Office (CEO) evaluated the justification provided by departments and based recommended action on the following policy:

- Departments are expected to absorb most changes within existing appropriations.
- Departments should recognize unanticipated revenues where possible to help absorb other changes.
- CEO may recommend an appropriation transfer to a General Fund agency from elsewhere in the General Fund as a last resort.
- All departments requesting a new position shall delete an offsetting long-term (12+ months) vacant position.

### **Quarterly Grant Survey**

The attached grant survey report, completed by County agencies and departments, covers the period January 1 through March 31, 2007. Agencies/departments were asked to report on any grant activities during this period, including any grant application in process, applied for, or awarded.

#### **Summary of County Grant Activities**

- Of the 24 County agencies/departments, 11 reported having no grant activity during the Third Quarter of FY 2006-07.
- During the reporting period, 13 County agencies/departments received a total of \$26.1 million in grant funds, and a total of \$218.7 million still pending notification. Please note that these amounts include a number of grants awarded over multiple years as expenditures occur and claims are submitted for approval. (See specific department detail on attached survey results).
- Agencies/departments reported a Countywide total of 12 positions responsible for grant related activities, with an estimated staffing and services and supplies cost of \$0.9 million. These figures include departments that have identified specific staff dedicated to grant responsibilities; however, other departments only assign staff to the function as necessary.
- Resources being used to locate and apply for grants vary among departments but include eCivis Grant locator service, internet grant web pages, and professional association and governmental publications/notices.

### **Program I – Public Protection**

#### **Probation (Agency 057)**

Increase appropriations by \$26,996 offset by revenue from fines, fees and forfeitures for the addition of one limited term (1 year) Supervising Collection Officer and two limited term (1 year) Accounting Specialist positions. These positions are required to process

transactions generated by participation in the Franchise Tax Board Debt Collection Program to recover payment from current and former probationers.

Sheriff-Coroner (Agency 060)

- Establish \$113,410 operating transfer out from Agency 060 to Transportation Internal Service Fund (296) for purchase of a vehicle to transport canine associated with the Narcotic Detention Canine Program at the Theo Lacy Facility (\$36,085); an undercover vehicle for contract services with the City of Rancho Santa Margarita (\$25,775); and two patrol cars for the Narcotics/Vice Investigations Detail (\$51,550). The cost of the canine vehicle is being absorbed within the existing Agency 060 budget; the cost of the undercover vehicle is offset by an operating transfer in from Public Safety Sales Tax Fund 14B; and the cost of the two patrol vehicles is offset by revenue from the California Multi-Jurisdictional Methamphetamine Enforcement Team Grant. In addition, a \$28,950 increase in appropriations, offset by revenue from the California Multi-Jurisdictional Methamphetamine Enforcement Team Grant, is required for purchase of equipment associated with the two patrol vehicles.
- Increase appropriations by \$68,000 offset by revenue from the California Multi-Jurisdictional Methamphetamine Enforcement Team Grant for the purchase of a mobile data computer (\$26,000) and patrol vehicle equipment (\$42,000) for the Highway Interdiction Team for drug interdiction and eradication of methamphetamine trafficking, manufacturing and labs in Orange County.
- Approve the \$57,000 purchase of a replacement Gas Chromatograph System (blood alcohol unit) for the analysis of approximately 20,000 samples per year. The current system is one of three and has become unserviceable and in need of replacement. The cost of the system will be absorbed within the existing budget.
- Convert two part-time Investigator positions to one full-time position to improve efficiency in services provided to contract cities. There is no budgetary impact associated with this request.

Orange County Methamphetamine Lab Investigation Team (Fund 103)

Redistribute appropriations and operating transfers related to the FY 06-07 Anti-Drug Abuse Grant and the Byrne Memorial Justice Assistance Grant. The Probation Department has decided not to participate in the multi-agency Methamphetamine Lab Investigation Team resulting in the need to redistribute funding. As such, decrease the operating transfer out to Probation (Agency 057) by \$49,200, increase the operating transfer out to District Attorney (Agency 026) by \$8,000, and budget \$41,200 in the Fund 103 budget as detailed in the Budget Adjustment Summary.

DNA Identification (Fund 12J)

Transfer \$610,000 from Object 2400 to Object 4800 to establish operating transfers to reimburse Probation (\$210,000) and Sheriff-Coroner (\$400,000) for expenses related to DNA collections as required under Proposition 69. These funds represent Orange County's portion of the fees collected pursuant to Government Code Section 76104.6(a).

## **Program II – Community Services**

### Department of Child Support Services (Agency 027)

- Increase appropriations by \$425,886 offset by unidentified/unclaimed monies to establish an operating transfer out to Fund 12C Child Support Program Development to cover costs of future child support program needs.
- Increase General Fund reserve by \$231,700 for Child Support Services to recognize the final of three installments for the lease commission at 1015/1055 N. Main Street, Santa Ana. The reserve will be used when the annual lease payment exceeds the total annual claimable cost.

### Health Care Agency [HCA] (Agency 042)

Add nine positions (two HCA Service Chief Is, one Administrative Manager II, one Mental Health Worker III, one Office Assistant, one Information Processing Technician, two Mental Health Specialists, and one Community Health Assistant II) for expansion of the Mental Health Services Act (MHSA) Program. The cost of the positions will be absorbed within the existing budget and are offset by MHSA revenue.

### Social Services Agency [SSA] (Agency 063)

- Increase appropriations by \$1,253,431 offset by revenue from the Medi-Cal Outreach, Enrollment, Retention and Utilization allocation for the contract agreement with Public Health Foundation Enterprises, Inc. (dba PHFE Management Solutions) approved by the Board on October 31, 2006. Funds will be used for outreach efforts to increase the number of children with health insurance coverage and a medical provider.
- Increase appropriations by \$4,470,054 offset by revenue from Medi-Cal (\$2,844,903) and CalWORKs (\$1,625,151) mid-year allocation adjustments. This funding will be used for existing programs and positions.

### In-Home Supportive Services [IHSS] Provider Payments (Agency 064)

Increase operating transfer in from Fund 14T Facilities Development and Maintenance by \$1,977,289 to offset lower than projected realignment revenue and to maintain funding of the program. The operating transfer out from Fund 14T is funded by realignment revenues in excess of budgeted levels.

### Social Services Agency Donations and Fees (Fund 12S)

Increase appropriations by \$500,000 offset by grant revenue from California HealthCare Foundation, UniHealth Foundation, and Kaiser Permanent for implementation of OneEApp, a web-based application that allows for a collaborative effort between the County and the Children's Health Initiative of Orange County to enhance outreach efforts for enrolling hard to reach families with eligible children into health plans. The \$500,000 will be transferred from Fund 12S to Social Services Agency (Agency 063) for reimbursement of the costs associated with this program.

Medi-Cal Administrative Activities/Targeted Case Management (Fund 138)

Increase operating transfer out to Health Care Agency (042) by \$146,271 offset by a decrease to Object 1900. The funds will be used in Agency 042 to cover the operating costs of administering Fund 138.

HCA Interest Bearing Purpose Restricted Revenue (Fund 13U)

Increase appropriations by \$1,406,622, increase revenue by \$282,012, and decrease reserves by \$1,124,610 to establish an operating transfer of Mental Health Managed Care carryover revenues to Health Care Agency (Agency 042) to fund Behavioral Health Services operating costs.

**Program III – Infrastructure and Environmental Resources**

Watershed & Coastal Resources (Agency 034)

Increase appropriations by \$150,000 offset by a decrease in appropriations in Miscellaneous Fund Agency 004 to facilitate the joint County/Discovery Science Center watershed education project.

Utilities (Agency 040)

Establish capital project for \$546,939 for configuration of two turbine generators to operate on diesel fuel in the event natural gas is unavailable. Continuous operation of the turbine generators is central to the cogeneration project. The cost of this project will be absorbed within the existing budget.

Resources & Development Management Department [RDMD] (Agency 080)

- Exchange one Custodial Services Supervisor position for a Procurement Contract Specialist. The cost of this position exchange will be absorbed within the existing budget. Facilities Operations is responsible for procurement activities for approximately \$5,000,000 in contracts per year for building maintenance/repair services, consultant services, architect/engineer and information systems services. The technical and complex nature of these procurements, the variety of solicitation processes used and the dollar amounts involved necessitate the classification of Procurement Contract Specialist.
- Exchange one Supervising Procurement Contract Specialist position for an Administrative Manager I; exchange one Accounting Specialist position for a Staff Specialist; and exchange one Store Clerk position for an Engineering Technician III. This request is the result of a minor restructuring. This restructuring better aligns the classification of the positions with job duties, increases productivity, and balances the reporting structure within the units. Although there is no budgetary impact this fiscal year, the new structure has the added benefit of an overall annual cost savings to the Department.

Building and Safety (Fund 113)

Increase appropriations by \$550,000 to accommodate the increase in Ranch Plan Consultant Services costs (\$250,000) and higher than anticipated direct and indirect billings from RDMD Agency 080 resulting from a higher than projected workload and a rate increase in Time & Materials Ordinance 07-002 (\$300,000). The anticipated consultant costs will be fully reimbursed by the Ranch Mission Viejo Company; the remaining \$300,000 will be offset by fee permit revenues.

Library Capital (Fund 119)

Increase operating transfer out to Fund 120 Orange County Public Library by \$97,420 offset by developer deposit funds for the cost of construction projects at the El Toro branch library.

Orange County Public Library (Fund 120)

- Exchange three part-time positions (Senior Library Assistant, Librarian, Library Clerk) for full-time positions to react to increased demand for library services at the San Juan Capistrano, Garden Grove and Fountain Valley branches. The cost of the increase in hours will be absorbed within the existing budget.
- Increase appropriations by \$15,000 offset by English Language and Civics Education grant revenues to provide literacy tutoring and resources for enhanced English skills and knowledge of civics education services.

Integrated Waste Management Department (IWMD) Bankruptcy Recovery (Fund 285)

Increase appropriations by \$4,310,224 offset by higher than budgeted imported tonnage revenue. The increased revenue will be used for increased expenses associated with the increased tonnage, such as State Board of Equalization excise taxes, city host fees, environmental reserve expense, and closure escrow expense. Operating transfers to Fund 275 IWMD Environmental Reserve (\$80,000), Fund 284 Frank R. Bowerman/Bee Canyon Landfill Escrow (\$60,074), Fund 286 Brea-Olinda Landfill Escrow (\$645,863), and Fund 287 Prima Deshecha Landfill Escrow (\$300,689) with offsetting increases to reserves in these funds are required for this purpose. \$2,998,136 will be transferred through the General Fund, Agency 016 2005 Lease Revenue Refunding Bonds to Fund 15Z Recovery Plan of Adjustment Available Cash.

Integrated Waste Management Department (Fund 299)

- Establish a \$3,400,000 operating transfer to IWMD Rate Stabilization Fund 277 offset by a decrease to reserves to reflect revised year-end reserve and cash balance estimates for Fund 277. Increase reserves in Fund 277 by \$3,400,000.
- Establish a \$1,938,669 operating transfer to IWMD Post Closure Maintenance Fund 279 offset by a decrease to reserves. Decrease reserves in Fund 279 by \$1,061,331 and appropriate the total of \$3,000,000 (\$1,938,669 plus \$1,061,331) to reflect revised year-end reserve and cash balance estimates in Fund 279.
- Increase appropriations and revenue by \$125,484 for operating transfer out to IWMD Environmental Reserve Fund 275 (\$29,808), Frank R. Bowerman/Bee Canyon

Landfill Escrow Fund 284 (\$2,602), and Brea-Olinda Landfill Escrow Fund 286 (\$93,074) with offsetting increases to reserves in these funds. These adjustments are required to cover increased expenses associated with the increased tonnage, such as State Board of Equalization excise taxes, city host fees, and environmental reserve expense.

Flood Control District (Fund 400)

Establish \$100,000 operating transfer in from Fund 130 District Community Priorities and Projects for the Serrano Creek Sediment Transport Analysis as directed by the Board on December 19, 2006. This project is required to reduce erosion and improve water quality in Serrano Creek, San Diego Creek and Upper Newport Bay.

Harbors, Beaches and Parks Capital (Fund 406)

- Establish \$175,000 capital project using existing unallocated appropriations to replace transformers and other electrical equipment necessary to maintain the flow of electrical power at Irvine Regional Park.
- Establish \$103,400 capital project using existing unallocated appropriations to improve the sports field at Yorba Regional Park.

County Service Area #4 Leisure World (Fund 458)

Direct the Auditor-Controller to close this fund, which has had a zero balance since June 2004 and is no longer in use.

Irvine Coast Assessment District 88-1 Construction (Fund 506)

Direct the Auditor-Controller to close this fund, which has had a zero balance since June 2004 and is no longer in use.

**Program IV – General Government Services**

Assessor (Agency 002)

Increase appropriations by \$120,000 offset by a decrease in appropriations in Data Systems Development Agency 038 to pay for invoices related to Price Agreement N1000008637 with ARK Technology and N1000008638 with Napoleon Dogaru, dba Modern e-Concepts, for technical services and systems and application support of the Assessment Tax System.

Registrar of Voters (Agency 031)

- Increase appropriations and Net County Cost (NCC) by \$500,000 to cover costs associated with the First Supervisorial District Election in February 2006.
- Increase appropriations and NCC by \$150,000 to provide funding for the unplanned Yorba Linda Special Municipal Election to be held June 5, 2007. The cost of the election will be reimbursed by the City of Yorba Linda; however, since the election will not be held until June 5, the revenue will not be realized until FY 2007-08. The

Agency 031 FY 07-08 Proposed Budget includes this revenue and resulting decrease in NCC.

- Increase revenue and decrease NCC by \$3,513,400 to recognize higher than anticipated revenue from the November 2006 General Election due to a large number of participating jurisdictions (\$2,464,600); and to recognize revenue from the 35<sup>th</sup> Congressional District Primary and General Elections held in April and June 2006, respectively (\$1,048,800).
- Increase appropriations and NCC by \$250,000 for carpeting and air duct work to improve the air quality in the Registrar of Voters building.

The net result of the Registrar of Voter budgetary changes is a NCC decrease of \$2,613,400.

#### Human Resources Department (Agency 054)

Add one Administrative Manager II position to serve as Project Manager for the Human Resources and Payroll Visioning Project including implementation and subsequent maintenance. The cost of the position will be absorbed within the existing FY 06-07 budget.

### **Program VI – Debt Service**

#### Prepaid Pension Obligation (Agency 022)

Increase appropriations and NCC by \$390,000 to ensure an adequate budget to meet debt service requirements on the variable rate Taxable Pension Obligation Bonds Series 2006. The monthly debt service interest rate has varied from 4.7181% to 5.4994%, with the interest rate on the most recent payment being 5.47%. This adjustment is required to meet the remaining debt service interest rate requirements for FY 06-07.

### **Program VII – Insurance, Reserves and Miscellaneous**

#### Employee Benefits (Agency 056)

Make necessary budget adjustments including establishment of a \$275,000 operating transfer from Agency 056 to Fund 298 for transfer of the Wellness Program budget to Fund 298 Self-Insured Benefits Internal Service Fund (ISF). Transfer to Fund 298 will allow for timing variances between expenditures and reimbursement from departments.

#### Information Technology ISF (Fund 289)

Increase appropriations by \$2,315,734 offset by charges for services revenue to fund higher than anticipated demand for information technology services.

#### Transportation ISF (Fund 296)

Increase appropriations by \$50,000 offset by a decrease to reserves for the purchase of hardware infrastructure to implement the Fuel Focus system upgrade. Fuel Focus processes the dispensing, receipt and transfer of all fuel and fluid consumables.

Self-Insured Benefits ISF (Fund 298)

A technical adjustment is required to increase appropriations by \$351,464 and decrease reserves by \$351,464. This adjustment is required as a result of the Fund Balance Available (FBA) adjustment made in the First Quarter Budget Report. The account coding included in the First Quarter Budget Report incorrectly increased reserves rather than appropriations.

**Other Issues**

- Included in the *Budget Adjustment Summary* are five technical adjustments required to balance Fund 15U Strategic Priority Affordable Housing (decrease appropriations by \$2,449,130), Fund 171 Orange County Development Agency (OCDA) Low/Moderate Income Housing-Santa Ana Heights (decrease appropriations by \$1,000), Fund 412 OCDA Low/Moderate Housing 1992 Issue A (increase appropriations by \$18,301), Fund 414 OCDA 1992 Issue B, Low/Moderate Housing (decrease appropriations by \$1,761,895), and Fund 425 OCDA Neighborhood Preservation and Development Construction (increase appropriations by \$2,360). These adjustments are required as a result of budget changes made in January for rehab program loan payoffs and disbursements for OCDA loans.
- The following funds require a decrease to reserves, offset by a decrease in revenue, to ensure adequate fund balance available to meet district obligations: Fund 479 Community Facilities District 99-1 Series A of 1999 Ladera Debt Service (\$325,000); Fund 533 Community Facilities District 01-1 Ladera Debt Service (\$357,201); and Fund 547 Community Facilities District 00-1 Series A of 2000 Ladera Debt Service (\$387,672).

QUARTERLY GRANT SURVEY  
3rd Quarter - FY 2006/07 (January 1 - March 31)

Grant Activity During the 3rd Quarter

Department/Agency	Number of Grants				Awarded Amount			Pending Award Amount	Denied Amount	Eligible Amount
	Awarded	Pending	Denied	Total	Received prior to 06/07	Received in 06/07	Pending Receipt			
<b>Program I - Public Protection</b>										
District Attorney (026)*	4	0	0	4	\$140,000	\$1,032,412	\$42,880	\$0	\$0	\$1,215,292
Probation (057)*	4	0	0	4	\$0	\$0	\$8,785,618	\$0	\$0	\$8,785,618
Public Defender (058)	0	3	0	3	\$0	\$0	\$0	\$249,000	\$0	\$249,000
Sheriff-Coroner (060)*	12	3	0	15	\$385,205	\$604,339	\$3,430,901	\$319,316	\$0	\$4,739,761
<b>Program II - Community Services</b>										
Health Care Agency (042)*	5	3	0	8	\$1,192,302	\$834,570	\$5,285,720	\$70,223,457	\$0	\$77,536,049
Social Services Agency (063)*	16	1	2	19	\$4,074,593	\$507,771	\$5,210,294	\$300,000	\$0	\$10,092,658
Housing & Community Services (012)*	1	5	2	8	\$0	\$10,706,858	\$0	\$1,070,000	\$1,100,000	\$12,876,858
								\$0	\$0	
<b>Program III - Infrastructure &amp; Environmental Resources</b>										
Dana Point Harbor (108)	1	0	0	1	\$4,074,593	\$0	\$2,541,000	\$0	\$0	\$2,541,000
Orange County Public Library (120)	5	1	1	7	\$0	\$106,057	\$25,681	\$2,000	\$100,000	\$233,738
Resources & Development Management Dept (034, 115, 296, 400)*	16	5	1	22	\$0	\$4,504,780	\$57,498,669	\$33,472,300	\$500,000	\$95,975,749
John Wayne Airport (280)*	8	0	0	8	\$12,243,101	\$1,762,098	\$28,774,887	\$0	\$0	\$42,780,086
<b>Program IV - General Government</b>										
County Executive Office (036)	1	0	0	1	\$0	\$0	\$204,101	\$0	\$0	\$204,101
Registrar of Voters (031)	3	0	0	3	\$0	\$12,121,875	\$1,285,997	\$0	\$0	\$13,407,872
<b>TOTAL</b>	<b>76</b>	<b>21</b>	<b>6</b>	<b>103</b>	<b>\$22,109,794</b>	<b>\$32,180,760</b>	<b>\$113,085,748</b>	<b>\$105,636,073</b>	<b>\$1,700,000</b>	<b>\$270,637,782</b>

\* Represents departments/agencies with grants awarded over multiple years, therefore, dollar amounts may include multi-year allocations.

**QUARTERLY GRANT SURVEY**  
**3rd Quarter - FY 2006/07 (January 1 - March 31)**

**PROGRAM I - PUBLIC PROTECTION**

**Department/Agency:** DISTRICT ATTORNEY (Agency 026)  
**Contact Name & Phone Number:** Kenneth Tran, 347-8438  
**Number of FTE's responsible for grant writing:** 1.0 FTE  
**Annual staff and any services & supplies costs related to the grant function:** \$71,670  
**Specify any special services/tools/resources used to track available grant function:** Department utilizes the eCivis software program.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Project Safe Neighborhoods	Office of Emergency Services (OES)	Prosecute firearms cases	Annual	\$ 182,880	\$ -	\$ 42,880	\$ -	\$140K received FY 05-06. Additional funding of \$42,880 is pending notification. Approved Approved Approved
2 Vertical Prosecution Block Grant	Office of Emergency Services	Prosecute statutory rape, child abuse, major narcotics and career criminal cases.	Annual	798,379	798,379			
3 Spousal Abuser Prosecution Program (SAPP)	State DOJ	Enhance the ability of Orange County prosecutors to successfully prosecute serious and repeat spousal abusers.	Annual	95,033	95,033			
4 Anti-Drug Abuse Program (formerly Orange County Methamphetamine Lab Investigation)	State OHS/OES (thru Sheriff Dept)	Prosecute Methamphetamine Manufacturers	Annual	139,000	139,000			
<b>Total D.A.:</b>				<b>\$ 1,215,292</b>	<b>\$ 1,032,412</b>	<b>\$ 42,880</b>	<b>\$ -</b>	

**Department/Agency:** PROBATION (Agency 057)  
**Contact Name & Phone Number:** Frank Kim 937-4728  
**Number of FTE's responsible for grant writing:** The department has 1 dedicated position functioning as the Grant Coordinator. A team comprised of staff from research, finance, and administration is available to assist as needed on each application.  
**Annual staff and any services & supplies costs related to the grant function:** Approximately \$100,000. Can be more depending on the number of grant opportunities.  
**Specify any special services/tools/resources used to track available grant function:** eCivis; websites of Probation resources such as State and Federal law enforcement agencies; contacts and sources from other Counties.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Juvenile Sex Offender Management (JSOM)	Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice	Assessing current system of resources, policies and practices for the management of juvenile sex offenders; providing assistance to victims; identifying gaps; developing a comprehensive strategy for management of these cases.	1-time	250,000	0	250,000		The grant has been awarded to Orange County Probation. The Department will go to the Board with a recommendation to accept the grant on October 17th.
2 Mentally Ill Offender Crime Reduction Grant (MIOCR)	State of California, California Department of Corrections and Rehabilitation (CDCR), Corrections Standards Authority	To reduce recidivism among mentally ill juvenile offenders, consistent with the purpose and intent of SB 1485, an initiative designed to determine the most effective strategies to reducing involvement of mentally ill offenders in the criminal justice system.	Annual Funding	1,499,378		1,499,378		Orange County Probation was notified of award of the Juvenile MIOCR grant on December 20, 2006. The Department is preparing an ASR to request acceptance of the award.

PROBATION Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
3 SB 618 County Assessment Program	State of California, California Department of Corrections and Rehabilitation (CDCR)	To perform assessments and create case plans for successful reintegration of nonviolent felony offenders that focuses on probation violators who are sentenced to prison.	1-time, 3 year grant term	\$6.9M requested		\$6.9M requested		The Board of Supervisors approved submission of the grant application on November 21, 2006. The Department completed the application process and is now awaiting notice of award.
3 Residential Substance Abuse Treatment	Office of Emergency Services	Enhanced services for 75 male minors serving court-ordered commitments in the Addiction and Substance Abuse, Education and Recognition and Treatment (ASERT) program at the Youth Guidance Center.	Annual Funding	\$136,240 (total project, of which 75% or \$102,180 is the federally funded amount)		N/A (application being reviewed by grantor)		On November 2, 2006, the Probation Department received notification of grant approval. The Board of Supervisors approved the new grant agreement on December 19, 2006.
<b>Total PROBATION:</b>				<b>\$ 8,785,618</b>	<b>\$ -</b>	<b>\$ 8,785,618</b>	<b>\$ -</b>	

Department/Agency:

**PUBLIC DEFENDER (Agency 058)**

Contact Name & Phone Number:

Becky Juliano 834-3182

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grant The department uses the eCivis services and website for researching grant opportunities as well as utilizing state, federal, and private sights to seek grant opportunities.

124

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Mental Health Juvenile Benefits	State or Private	A Benefits Coordinator in Juvenile Courts to assist juveniles in seeking access to placement, housing support resources, and income benefits.	Annual	\$ 83,000		\$ 83,000		Looking for applicable grant.
2 Mental Health Adult Benefits	State or Private	A Benefits Coordinator in the Specialty Courts to assist clients in access to entitlement benefits such as SSI, SSDI, Section 8 housing, and other appropriate program resources and income benefits to assist them in successful treatment and rehabilitation.	Annual	83,000		83,000		Looking for applicable grant.
3 Mental Health Services Community Courts	State or Private	Coordinate staff located at the new Community Courts Building to assist clients on a daily basis in program and resources coordination and monitoring.	Annual	83,000		83,000		Looking for applicable grant.
<b>Total PUBLIC DEFENDER:</b>				<b>\$ 249,000</b>	<b>\$ -</b>	<b>\$ 249,000</b>	<b>\$ -</b>	

Department/Agency: **SHERIFF-CORONER (Agency 060)**  
 Contact Name & Phone Number: Deputy Dee Dee Kurimay, (714) 935-6869  
 Number of FTE's responsible for grant writing: 1.3 FTE  
 Annual staff and any services & supplies costs related to the grant function: \$261,205

Specify any special services/tools/resources used to track available grant: Department utilizes the National Institute of Justice, Federal Grants automatic notification systems, Ecvivis, Justice Planning and Management Associates, Office of Emergency Services, and Department of Justice

125

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Portable Evidential Breath Testing (PEBT) - Upgrade	CA Office of Traffic Safety	Forensics: Upgrade the use of PEBT's for DUI Enforcement	1-Time	\$ 345,000	\$ 134,013	\$ 170,808		Approved, \$3,129 received FY 05-06. In 06-07 1st Qtr rec'd \$4,810.35; \$32,240 in 2nd Qtr
2 DNA Expansion Program (Inter Agency Agreement)	National Institute of Justice	Forensics: DNA Caseload Expansion to Property Crimes	1-time	495,505	0	343,976		Approved. \$96,299 received 1st Q 06-07; \$55,230 in 2nd Qtr
3 DNA Backlog Reduction Grant	National Institute of Justice	Forensics: DNA Backlog Reduction	1-time	173,440	10,078	28,270		Approved; \$103,549 rec'd FY 05-06. \$17,908 rec'd 1st Qtr 06-07; \$13,635 in 2nd Qtr
4 2005 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	164,395	0	164,395		Approved
5 2005 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	93,639	0	93,639		Approved.
6 2006 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	104,256	0	104,256		Application in process
7 2006 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	199,767	0	199,767		Original amount of \$138,702 increased to \$199,767 and awarded by NIJ
8 Mentally Ill Offender Crime Reduction (MIOCR) Grant	Department of Corrections and Rehabilitation (Corrections Standards Authority)	Jail Operations: Collaborative, joint-agency program to identify mentally ill (in-custody) inmates who may be better served in a non-custodial environment. Multi-agency collaboration with Health Care Agency, County Probation, District Attorney, and Superior Court, as well as community-based organizations.	Annual (Initial funding for 18 month period, which includes program implementation; annual thereafter)	1,500,000	0	1,500,000		Grant submission was approved and awarded in full. Orange County Health Care Agency will be the implementing agency as indicated in the grant's application.
9 Boating-Marine Fires	California Department of Boating and Waterways	Harbor Patrol marine firefighting training class	Annual	22,000	0	22,000		Pending disbursement from DBAW
10 Boating Safety and Enforcement Equipment Grant	California Department of Boating and Waterways	Harbor Patrol law enforcement equipment	1 time	39,849	0	39,849		Pending disbursement from DBAW
11 Avoid South County Regional DUI Task Force	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	2-Year grant (10/1/04-12/31/06)	540,570	208,860	123,022		Approved; \$208,688 received in FY 05-06; \$61,176 final claim pending. Grant expired prior to final expenditure, \$61,846 not spent.

SHERIFF CORONER Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
12 Avoid the Ten DUI Campaign-South Orange County	Office of Traffic Safety (OTS)	DUI Enforcement and Educational Programs	40-Month Grant	493,800	0	493,800		Approved by OTS; Board of Supervisors approved on Tuesday, February 27, 2007 received in FY 06-07
13 South County-Driver Safety Education Program for Teens	Office of Traffic Safety (OTS)	DUI/Traffic Safety Education Program for Young Drivers	10/01/07 - 01/31/10	115,060		115,060		Grant request pending. Board of Supervisor approval for submission and execution of grant agreement if approved.
14 Solving Cold Cases With DNA	National Institute of Justice	C.I.D., Review & Prioritize Cold Cases / DNA Analysis of Biological Evidence	2 -year	352,480	11,735	251,375		Approved. \$69,839 received FY 05-06. \$6,236 received 1st Qtr;\$13,295 2nd Qtr.
15 Intergovernmental Partnership Grant Program	California Department of Corrections and Rehabilitation	Implement and expand innovative programs to reduce prisoner recidivism	1-Time	100,000	0	100,000		Orange County Sheriff's Department was selected for possible funding, pending an approved agreement. Discussions are in progress.
<b>Total SHERIFF-CORONER:</b>				<b>\$ 4,739,761</b>	<b>\$ 364,686</b>	<b>\$ 3,750,217</b>	<b>\$ -</b>	

**Department/Agency:** HEALTH CARE AGENCY (Agency 042)  
**Contact Name & Phone Number:** Janet Holcomb 834-3158  
**Number of FTE's responsible for grant writing:** Varies - HCA does not have staff solely assigned to grant writing  
**Annual staff and any services & supplies costs related to the grant function:** Varies - HCA does not have staff solely assigned to a grant function  
**Specify any special services/tools/resources used to track available grant:** eCivis software, through County price agreement

126

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Ryan White CARE Act, Title II	State Dept of Health Services	Outpatient medical care services to persons infected with HIV	4/1/05 - 3/31/07	\$ 1,729,828	\$ 137,961	\$ 147,811	\$ -	Approved - \$1,163,159 received FY05-06, \$156,741 in 1st Qtr, \$124,156 in 2nd Qtr
2 State Incentive Grant	State Department of Alcohol and Drug Programs	Campus - Community Alcohol Management Project	10/1/05 - 9/30/06	352,500	0	165,203	0	Approved - \$29,143 received FY05-06, \$64,494 in 1st Qtr, \$93,660 in 2nd Qtr
3 Nurse-Family Partnership	Children and Families Commission of Orange County (Prop 10)	Case management and in-home visitation to pregnant/parenting women and their families	7/1/06 - 6/30/08	648,000	126,445	521,555	0	Awarded
4 Perinatal Substance Abuse Services Initiative	Children and Families Commission of Orange County (Prop 10)	In-home visitation targeting at-risk pregnant women with histories of substance abuse and/or HIV infection	7/1/06 - 6/30/08	356,100	131,113	224,987	0	Awarded
5 Coverage Initiative	State Department of Health Services, Medi-Cal Operations Division	Expansion and improvement of insurance coverage in California to uninsured populations that are currently ineligible for public insurance programs	9/1/07 - 9/1/10	69,000,000	0	69,000,000		Application in process
6 Prop 36 Offender Treatment Program	State Department of Alcohol and Drug Programs	Provide enhanced services and capacity to dramatically increase entrance, retention, and graduation rates of Prop 36 clients	12/1/06 - 11/30/08	4,226,164	0	4,226,164	0	Awarded

HEALTH CARE AGENCY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
7 Justice and Mental Health Collaboration Program	U. S. Department of Justice	Increase public safety through innovative cross-system collaboration for individuals with mental illness who come into contact with the criminal or juvenile justice systems	1-time, 24 months	200,000	0	200,000	0	Application in process
8 Comprehensive Drug Court Implementation Grant	State Department of Alcohol and Drug Programs	Enable the County's Drug Court program to continue treatment services and community supervision to approximately 250 participants	1/1/07 - 12/31/07	1,023,457	0	1,023,457	0	Pending Approval from State
<b>Total HCA:</b>				<b>\$ 77,536,049</b>	<b>\$ 395,519</b>	<b>\$ 75,509,177</b>	<b>\$ -</b>	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grant function:

**SOCIAL SERVICES AGENCY (Agency 063)**

Randi Dunlap 541-7704

1.98 FTE

S&EB \$132,204/Travel expenses \$6,000

Agency uses standard business software: MS Word. Some service providers use MS Access software

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	Current Status (Approved/ Denied/Pending Notification/Application in Process)
1 Child Abuse Treatment Program (CHAT)	State of California Office of Emergency Services (OES)	For comprehensive treatment services for child victims of abuse and neglect.	Three-year - Funded annually	\$ 600,000	\$ 49,012	\$ 550,988	\$ -	Approved for 10/1/05 to 9/30/08.
2 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	150,000	150,000	0		Approved for 10/1/05 to 9/30/08.
3 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	187,500	0	187,500		Approved for 10/1/05 to 9/30/08.
4 Child Abuse Prevention, Intervention & Treatment (CAPIT)	CDSS OCAP	For addressing needs of children at high-risk of abuse or neglect and their families. Funding will be used for primary prevention services such as home based visiting programs, parent education, and respite care services.	Three-year - Funded annually	1,759,570	0	879,785		Approved for 7/1/05 to 6/30/08. \$879,785 received in 05/06
5 Promoting Safe and Stable Families (PSSF)	CDSS OCAP	For community-based collaboratives operating family resource centers to provide a comprehensive continuum of integrated community-based prevention, intervention, and treatment services as defined by the respective FRC's communities	Three-year - Funded annually	5,341,590	0	2,737,052		Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$2,604,538 received in 05/06
6 Community-Based Child Abuse Prevention (CBCAP)	CDSS OCAP	To foster parent and community leadership for the family resource centers to serve as a vehicle for individual, family, and community change.	Three-year - Funded annually	107,474	0	0		Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$107,474 received in 06/07 Per M. Engram

SOCIAL SERVICES AGENCY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
7 Family to Family Planning	Stuart Foundation	To support implementation of the Family to Family Initiative.	Three-year beginning 2003 to 2007	300,000	0	100,000	\$ -	Grant is extended for a 4th year to allow spending the remaining. \$200,000 received in FY05-06, \$100,000 due in October 2006
8 Refugee Employment Social Services and Set Aside	California Dept of Health and Human Services	Refugee Services	Annual	302,571	20,643	108,977		Approved 10/01/04 - 09/30/06, \$135,299 received in 05/06; \$37,652 received 2nd Qtr
9 Refugee Employment Social Services Discretionary	California Dept of Health and Human Services	Refugee Marriage and Pre-Marriage Education	Annual	200,000	0	70,993		Approved 09/30/03 - 09/29/06, \$129,007 received in 05/06
10 Targeted Assistance Discretionary Grant to the Cambodian Family for Building the Future	California Dept of Health and Human Services	Child Care Provider Training for Refugee Women and Men	Annual	239,386	59,846	5,546		Approved 09/30/03 - 09/29/06; \$125,964 received in 05/06, \$48,030 received 2nd Qtr
11 2004 Refugee Employment Social Services Rollover	California Dept of Health and Human Services	Refugee Services	Annual	87,431	0	87,431		Approved 10/01/04 - 09/30/06
12 2004 Refugee Employment Social Services augmentation	California Dept of Health and Human Services	Refugee Services	Annual	24,879	15,011	0		Approved 10/01/04 - 09/30/06, 4th quarter eligibility amount increased from \$14,437; \$9,868 received 2nd Qtr
13 2004 Targeted Assistance Augmentation	California Dept of Health and Human Services	Refugee Services	Annual	5,869	2,494	0		Approved 10/01/04 - 09/30/06; \$3,375 received 2nd Qtr
14 2005 Targeted Assistance Discretionary Grant Older Refugee Services	California Dept of Health and Human Services	Older Refugee Services	Annual	10,825	0	6,459		Approved 10/01/05 - 09/30/06; \$4,366 received 2nd Qtr
15 2006 Refugee Social Services	California Dept of Health and Human Services	Refugee Services	Annual	464,291	0	464,291		Approved 10/01/06 - 09/30/07
16 2006 Older Refugee Discretionary Grant	California Dept of Health and Human Services	Older Refugee Services	Annual	11,272	0	11,272		Approved 9/30/06 - 09/29/07
17 Casey Grant	Annie E. Casey Foundation	To augment Family to Family service to birth parents, foster, and adoptive parent recruitment in communities with identified needs.	Three-year - Funded annually	300,000	0	300,000		Application in Process
18 Older Youth Adoptions Project	CDSS OCAP	Increase successful adoptions of older youth	Annual	0	0	0		Application denied
19 Collaboration between TANF and Child Welfare to Improve Child Welfare Program Outcomes	CDSS OCAP	Improve child welfare program outcomes between collaboration with TANF and CWS	Annual	0	0	0		Application denied
<b>Total SSA:</b>				<b>\$ 10,092,658</b>	<b>\$ 297,006</b>	<b>\$ 5,510,294</b>	<b>\$ -</b>	

Department/Agency: HOUSING & COMMUNITY SERVICES (Agency 012)

Contact Name & Phone Number: Connie Chang 480-2990

Number of FTE's responsible for grant writing: Approx. 2.0 FTE

Annual staff and any services & supplies costs related to the grant function: Approx. \$136,000 for S&EB

Specify any special services/tools/resources used to track available grant: Community Services: Resource publications & notices from U.S. Department of Labor; CA Employment Development Department; local, state and federal partner agencies, Orange County Housing Authority: Annual Family Self Sufficiency (FSS) and other performance reports

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Orange County Self-Sufficiency Calculator Pilot Program	Orange County United Way	To fund 5-10 pilot sites that will introduce the use of two new tools: The Self-Sufficiency Standard for Orange County (The Standard) and the Orange County Self-Sufficiency Calculator (The Calculator). The pilot program is aimed at developing alternative approaches to reduce poverty in Orange County. The Standard and Calculator are designed to be used in job training, workforce development, education, housing and other family support programs as part of a larger program and policy strategy to get families off of public benefits and into high-wage, family-sustaining jobs for the long term.	1-time	\$ 50,000	\$	50,000		Application is pending notification. Application was due on February 9, 2007.
2 Workforce Investment Act Funds - Pre-Vocational Training Grant	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds	Primary goal of the SFP is to increase the number of at-risk youth who are prepared to enter career technical training, post-secondary educational programs, apprenticeships, or employment in high wage/high growth occupations by: 1) Introducing them to locally based high wage/high growth career options; and 2) Providing one or both of the following: Programs designed to improve basic academic skills (reading, writing and math, including completion of a GED); and/or Industry identified soft skills training (i.e. problem solving, work ethics, communications, etc.).	18-24 Months Funding	300,000		300,000		Application is pending notification. Application was due on January 8, 2007.

HOUSING & COMMUNITY SERVICES Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
3 Transitional Housing Assistance Grants for Domestic Violence, Dating Violence, Stalking and Sexual Assault	Department of Justice/Office on Violence Against Women	To support programs that provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. In addition, projects are to provide a wide range of flexible and optional services that reflect the differences and individual needs of victims and that allows victims to choose the course of action that is best for them. Transitional housing programs may offer individualized services such as counseling, support groups, safety planning, and advocacy services as well as practical services such as licensed child care, employment services, transportation vouchers, telephones, and referrals to other agencies.	3-Year	350,000		350,000		Application is pending notification. Application was due on February 22, 2007.
4 Regional and Local Incentive Awards	State of California, Employment Development Department	To provide business services that support the following criteria: 1) Partnerships: the extent to which workforce and economic development and other community partners are engaged in the delivery of business services; 2) Meeting business needs: the extent to which business and industry are engaged in identifying employer needs and developing strategies to meet those needs; 3) System design: the extent to which staff is focused in and knowledgeable of the industries and businesses in the local or regional economies; 4) Seamless delivery system: the extent to which services offered are coordinated or integrated among partners; 5) Clearly defined products and activities: the extent to which services are customized to meet business' needs; and 6) Clearly defined indicators: the extent to which business service indicators measure customer satisfaction, efficiency and effectiveness, and have defined expected outcomes.	Annual	70,000		70,000		Application is in the process of being drafted. Draft due date is April 13, 2007.

HOUSING & COMMUNITY SERVICES Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
5 Evaluation Project FY 2006-07	Chancellor's Office, CA Community Colleges	To provide funds to support a System-wide evaluation of the Career Technical Education/Economic and Workforce Development Pathways initiative. The goal of the initiative is to strengthen California's workforce development efforts by linking the State's investment in economic development with its investment in public instruction and other significant public investments. Funds are used for projects that: bring together economic development initiatives and consortia composed of community colleges, high schools, and Regional Occupational Centers and Programs; develop regional articulation councils to create seamless, non-redundant education and training in California, strengthen existing Career Technical Education sectors; establish career exploration programs for middle school and high school students; and meet critical professional development needs and	Two Years	300,000		300,000		Application is in the process of being drafted. Due date is May 25, 2007. Coast Community College is taking lead on submitting the application. The subcontract amount to the County has yet to be determined.
6 Veterans' Employment-Related Assistance Program Funds	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds and 25% Dislocated Worker Additional Assistance funds	Funds may be used to assist veterans who have significant barriers to obtaining meaningful employment. Applicants are to provide employment and training services, supportive services, placement and follow-up through a case management approach.	18 Months Funding	500,000			500,000	Application is pending notification. Application was due on October 16, 2006.
7 Workforce Investment Act Funds	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds	Funds are to be used where there is a maximum return on investment both in assisting job seekers to enter employment and to retrain existing workers in high-need and high wage sectors of the economy in the following categories: 1) Growth Industries - High Wage, High Skill Job Training; 2) Industries with a Statewide Need; and 3) Advancing Workers with Barriers to Employment	18-24 Months Funding	600,000			600,000	Application is pending notification. Application was due on October 23, 2006.
8 Continuum of Care-Homeless Assistance Program	U.S. Dept. of Housing and Urban Development (H.U.D.)	HCS applies for this grant on behalf of homeless providers in the County, including funding for Shelter Plus Care vouchers that are awarded to the Orange County Housing Authority.	Annual	10,706,858	10,706,858			Approved; Original Eligible Amount was \$10,639,447
<b>Total H&amp;CS:</b>				<b>\$ 12,876,858</b>	<b>\$ 10,706,858</b>	<b>\$ 1,070,000</b>	<b>\$ 1,100,000</b>	

**Department/Agency:** DANA POINT HARBOR DEPARTMENT (Fund 108)  
**Contact Name & Phone Number:** Louis McClure (949) 923-2205  
**Number of FTE's responsible for grant writing:** No specific staff assigned to grant writing  
**Annual staff and any services & supplies costs related to the grant function:** To be determined  
**Specify any special services/tools/resources used to track available grants:** eCivis, contacts from other Counties and State agencies

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Dana Point Harbor Department Launch Ramp	CA Department of Boating and Waterways	Dana Point Harbor Launch Ramp Renovation	1-time	\$ 2,541,000	\$ -	\$ 2,541,000	\$ -	Construction began September 2006. Reimbursement in process.
<b>Total DANA POINT HARBOR:</b>				<b>\$ 2,541,000</b>	<b>\$ -</b>	<b>\$ 2,541,000</b>	<b>\$ -</b>	

**Department/Agency:** ORANGE COUNTY PUBLIC LIBRARY (Fund 120)  
**Contact Name & Phone Number:** Steve Siemion 566-3027  
**Number of FTE's responsible for grant writing:** 0 (assigned to various affected functions)  
**Annual staff and any services & supplies costs related to the grant function:** \$2,382.40  
**Specify any special services/tools/resources used to track available grants:** California State Library (<http://www.library.ca.gov/html/grants.cfm>), CALIX (library professionals' listserv), participation in literacy programs such as ProLiteracy or CALit, CA Department of Education listserv (automated e-mail distributions), Foundations and Grants Websites listings.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 California Library Literacy & English Acquisition Services Program (CLLS) 2006-07	California State Library	To provide literacy tutoring and resources for enhanced English skills	Annual	\$ 101,057	\$ 81,057	\$ -	\$ -	Approved; \$20K reported in 2nd Qtr 06-07, \$81,057 in 3rd quarter
2 English Literacy and Civics Education Grant 2006-07	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	15,000	0	15,000	0	Approved
3 LSTA (Library Services & Technology Act) Early Learning with Families (ELF) @ Your Library	Office Of Library Services (federal, passed through State Library)	Parent Education Program workshops for parents of pre-school children – preparation for school	One-time	5,000	5,000	0	0	Approved; \$5,000 received in 3rd quarter
4 LSTA (Library Services and Technology Act) Staff Education Program Fiscal Year 2006/07	Office Of Library Services (federal, passed through State Library)	To provide tuition reimbursement for library science college classes taken by eligible OCPL employees	Annual	9,481	0	9,481	0	Approved
5 No Child Left Behind: Homework Help Beyond the 1st Year	Office Of Library Services (federal, passed through State Library)	To provide online tutoring services using student teachers as tutors	One-time	100,000	0	0	100,000	Denied
6 Target Community Giving Program Grant (Westminster Children's Puppet Theater)	Target Stores	Puppets for Puppet Theater children's events	One-time	1,200	0	1,200	0	Approved
7 Targer Early Childhood Reading Grant	Target Stores	Storytime kits (books, children's music CDs, puppets, etc. for system wide children's librarians to use during weekly storytimes.	One-time	2,000	0	2,000	0	Application in Progress
<b>Total LIBRARY:</b>				<b>\$ 233,738</b>	<b>\$ 86,057</b>	<b>\$ 27,681</b>	<b>\$ 100,000</b>	

Department/Agency:

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT (Agency 034, 296, 115, 400)

Contact Name & Phone Number:

Greg Lepore 667-8357

Number of FTE's responsible for grant writing:

4.55 FTE

Annual staff and any services & supplies costs related to the grant function:

\$246,859.00

Specify any special services/tools/resources used to track available grants: eCivis Grants Locator, Grants.Gov Website, Catalog for Domestic Assistance (CFDA), Private Foundations, State web site - www.oes.ca.gov (Hazard Mitigation)

**RDMD/WATERSHED AND COASTAL RESOURCES (Agency 034)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Proposition 12	State Coastal Conservancy & Wetlands Recovery Project	Upper Newport Bay Ecosystem Restoration Project	1-time	\$ 12,500,000	\$ -	\$ 9,538,152	\$ -	Approved - project in progress \$2,961,848 received 2nd Qtr
2 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Newport Bay Nutrient TMDL Dissolved Oxygen & Algae Distribution Study	1-time	250,300	40,904	54,986		Approved - project near completion; \$154,410 received in 2nd Qtr
3 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Newport Bay Fecal Coliform Source Identification & Management Plan	1-time	780,000	5,176	514,122		Approved - project in progress; \$260,702 received in 2nd Qtr
4 Proposition 13 PRISM Nonpoint Source Pollution Control Grant Program	State Water Resources Control Board	San Diego Creek Sediment Pesticide Study	1-time	188,254	20,701	134,164		Approved - project in progress; \$33,389 received in 2nd Qtr
5 Proposition 40 Phase II - Clean Beaches Initiative	State Water Resources Control Board	Poche Beach UV Bacteria Disinfection System	1-time	1,500,000	-	1,500,000		Approved
6 Illegal Disposal Site Grant Program	California Integrated Waste Management Board	Poche Beach UV Bacteria Disinfection System & Source-Tracking Investigation	1-time	624,020	4,114	619,906		Approved
7 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Munger Drain Sand Filter Water Quality Treatment System	1 time	204,500	0	52,254		Approved: project presently in final stages, scheduled for completion in March 2007; \$152,246 received in 2nd Qtr
8 Proposition 13-Nonpoint Source Pollution Program	State Water Resources Control Board	Coyote-Carbon Watershed Management Plan	1 time	200,000	195,925	4,075		Final 1/31/07
9 Propositions 40 & 50	Rivers & Mountains Conservancy	Coyote-Carbon Watershed Management Plan	1 time	150,000	0	115,085		Final 1/31/07; \$34,915 received 2nd Qtr
10 Proposition 40	Coastal Conservancy	Coyote-Carbon Watershed Management Plan	1 time	130,000	27,019	38,562		Final 1/31/07; \$64,419 received 2nd Qtr
11 Proposition 13-Nonpoint Source Pollution Program	State Water Resources Control Board	Narco Channel Streambank Stabilization Project	1-time	1,438,300	85,512	1,352,788		Approved - project in progress
12 National Boating Infrastructure Grant	State Department of Boating and Waterways	Rebuild Newport Harbor Patrol Guest Docks	1-time	472,300	-	472,300		Pending
13 Proposition 50, Chapter 8	State Water Board & Dept of Water Resources	Integrated Regional Water Management Plan for San Juan Hydrologic Unit	1-time	25,000,000	-	25,000,000		Pending: recommended for funding 11/13/06; final approval vote scheduled 1/17/07
14 Proposition 50 - Clean Beaches Initiative	State Water Resources Control Board	Aliso Creek Mouth-Dry Weather Management Model Study	1-time	500,000	-		500,000	Not approved
<b>Subtotal:</b>				<b>\$ 43,937,674</b>	<b>\$ 379,351</b>	<b>\$ 39,396,394</b>	<b>\$ 500,000</b>	

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT Cont'd

**RDMD/Road Division (Fund 115)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Measure M - Local Sales Tax: (Combined Transportation Funding Program)	OCTA/Local Sales Tax	Roads, Bridges, Traffic Signals, etc.	Biannual	\$ 21,212,548	\$ 463,500	\$ 20,749,048		55 allocations over nine fiscal years - starting FY 02-03. In addition to the amount received, \$1,471,500 invoiced in last quarter is still outstanding. \$5,123,374.30 has been invoiced in this quarter.
2 TEA-21 & Safe Accountable Flexible Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Federal grants: (Seismic Retrofit, HBRR, RSTP, AHRP [pavement rehab.], & HES)	CalTrans/Federal Highway Administration (FHWA)	Roads, Bridges, Pavement, Bikeways	Annual	\$ 18,858,377	0	\$ 18,858,377		16 allocations over six fiscal years - starting FY 04-05.
3 State grants: (State Match, Safe Routes to School (SR2S))	CalTrans/State	Sidewalks, Bikeways, Curb & Gutter, Drainage	Annual (+/-)	345,150	0	345,150		4 allocations over three fiscal years - starting FY04-05.
<b>Subtotal:</b>				\$ 40,416,075	\$ 463,500	\$ 39,952,575	\$ -	

**RDMD/INTERNAL SERVICES TRANSPORTATION (Fund 296)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 MSRC (Mobile Source Air Reduction Pollution Committee)	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	350000 (matching)	\$ -	\$ 350,000	\$ -	Approved
2 California Energy Commission	California Energy Commission	Construction of a Compressed Natural Gas (CNG)	1-time	150,000	-	150,000		Approved
3 SCAQMD (South Coast Air Quality Management District) Unsolicited grant	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	0- 500000	-	0- 500000		Application Pending on project status
<b>Subtotal:</b>				\$ 1,000,000	\$ -	\$ 1,000,000	\$ -	

**RDMD/Flood Control District (Fund 400)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Hazard Mitigation Grant Program for DR-1577 and DR-1585	Federal Emergency Management Agency (FEMA)	Construction of East Garden Grove Wintersburg Channel (C05) from 2600' d/s Graham St to u/s Graham St	1-time after constructed	\$ 7,500,000		\$ 7,500,000	\$ -	Pending Notification
2 Hazard Mitigation Grant Program for DR-1577 and DR-1585	FEMA	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave	1-time after constructed	3,122,000		3,122,000		Approved - Project amount (FEMA - \$3,050.00). Subgrantee amount (OES - \$72,000).
<b>Subtotal:</b>				\$ 10,622,000	\$ -	\$ 10,622,000	\$ -	
<b>Total RDMD:</b>				\$ 95,975,749	\$ 842,851	\$ 90,970,969	\$ 500,000	

**Department/Agency:** JOHN WAYNE AIRPORT (Fund 280)  
**Contact Name & Phone Number:** Norman Wohkittel (949) 252-5191  
**Number of FTE's responsible for grant writing:** 5% of an A&E Project Manager for grant administration; 20% of a Senior Accountant/Auditor I position for grant claims  
**Annual staff and any services & supplies costs related to the grant function:** \$27,776  
**Specify any special services/tools/resources used to track available grant:** The Federal Airport Improvement Program (AIP) manual is the primary directive for applying for grants available from the Federal Aviation Administration (FAA), for reimbursement of costs associated with certain eligible airport improvement (capital project) expenditures. The Federal Register is the authoritative guide for applying for grants available from the Transportation Security Administration (TSA) for reimbursement of certain eligible security-related expenditures

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 AIP Grant #30	Federal Aviation Admin. (FAA)	Baggage Screening Improvements	Open	\$ 12,144,051	\$ -	\$ 2,783,999	\$ -	\$7,900,935 received prior to FY 06-07, \$1,459,117 received 2nd Qtr.
2 AIP Grant #32	Federal Aviation Admin. (FAA)	Construct Airport Fire Station #33 and Reconstruct Landing Surface (runway) 19R/1L	Open	6,944,051	0	3,627,397	0	\$3,316,654 received prior to FY 06-07
3 AIP Grant #34	Federal Aviation Admin. (FAA)	Construct South Aircraft Remain-Overnight Apron - Design Phase	Open	1,500,000	17,914	742,671	0	\$739,415 received prior to FY 06-07
4 AIP Grant #35	Federal Aviation Admin. (FAA)	Construct South Aircraft Remain-Overnight Apron - Design Phase II	Open	12,795,061		12,795,061	0	Approved
5 AIP Grant #36	Federal Aviation Admin. (FAA)	Construct South Aircraft Remain-Overnight Apron - Design Phase III	Open	7,651,107		7,651,107	0	Approved
6 TSA Explosives Detection Canine Teams	Transportation Security Administration (TSA)	Security Personnel Assigned to Explosive Detection Canine Program	Annual	112,747	0	112,747	0	Approved
7 TSA Law Enforcement Officers (LEOs)	TSA	Security Personnel Assigned to Screening Checkpoints	Annual	1,130,887	190,551	611,905	0	\$233,915 received prior to 06-07. \$94,516 received in 2nd Qtr
8 Caltrans	State of California	Reimbursement of Elevated Roadways Seismic Retrofit	Open	502,182	0	450,000	0	\$52,182 received prior to FY 06-07
<b>Total JWA:</b>				<b>\$ 42,780,086</b>	<b>\$ 208,465</b>	<b>\$ 28,774,887</b>	<b>\$ -</b>	

**Department/Agency:** REGISTRAR OF VOTERS (Agency 031)  
**Contact Name & Phone Number:** Kate Gold 567-5107  
**Number of FTE's responsible for grant writing:** Varies - ROV does not have staff solely assigned to grant writing  
**Annual staff and any services & supplies costs related to the grant function:** Varies - ROV does not have staff solely assigned to a grant function  
**Specify any special services/tools/resources used to track available grant:** State and Federal agencies website; contacts and other sources from other Counties

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Voter Verifiable Paper Audit Trail (VVPAT)	Help America Vote Act (HAVA)	Purchase & Installation of the Voter Verifiable Paper Audit Trail (VVPAT) devices on existing Direct Record Electronic (DRE) Voting system and related voter and poll worker outreach and education.	1-time	\$ 12,121,875	\$ 12,121,875	\$ -	\$ -	Received \$12,121,875, FY 06/07, for VVPAT retrofit.
2 Election Assistance for Individuals with Disabilities (EAID)	Help America Vote Act (HAVA)	Improve poll site accessibility for individuals with disabilities.	1-time	287,936	-	287,936	0	Approved. Application in Process.

REGISTRAR Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
3 HAVA Section 301 Voting Systems Program. State of California Standard Agreement No. 05GR301030	Help America Vote Act (HAVA)	Related voter and poll worker outreach and education; purchase of approved voting systems or DRE voting system components.	1-time	998,061	-	998,061	0	Approved.
<b>Total ROV:</b>				<b>\$ 13,407,872</b>	<b>\$ 12,121,875</b>	<b>\$ 1,285,997</b>	<b>\$ -</b>	

Department/Agency: COUNTY EXECUTIVE OFFICE (Agency 036)  
 Contact Name & Phone Number: Anil Kukreja 834-4146  
 Number of FTE's responsible for grant writing:  
 Annual staff and any services & supplies costs related to the grant function:  
 Specify any special services/tools/resources used to track available grants:

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Community Development Block Grant (CDBG) CJC Improvements for Weapons Screening Rm Project	HUD through HCS	If funded, the CDBG grant award of \$400,000 (minimum request amount) will be used for the evaluation and potential relocation or elimination of the weapons screening points which are currently located at the North/South and East Entrances. The project will enhance the emergency egress from the Central Courthouse for people with disabilities.	1-time	\$ 204,101	-	\$ 204,101	\$ -	Approved
<b>Total CEO:</b>				<b>\$ 204,101</b>	<b>\$ -</b>	<b>\$ 204,101</b>	<b>\$ -</b>	
<b>TOTAL COUNTY:</b>				<b>\$ 270,637,782</b>	<b>\$ 26,055,729</b>	<b>\$ 218,721,821</b>	<b>\$ 1,700,000</b>	

Total FTE's Responsible for Grants: 11.8  
 Estimated Annual Staffing & Related S&S Costs: \$934,183