

Budget Issues



BUDGET ISSUES

For the budget issues discussed below, the County Executive Office (CEO) evaluated the justification provided by departments and based recommended action on the following policy:

- Departments are expected to absorb most changes within existing appropriations.
- Departments should recognize unanticipated revenues where possible to help absorb other changes.
- CEO may recommend an appropriation transfer to a General Fund agency from elsewhere in the General Fund as a last resort.
- All departments requesting a new position shall delete an offsetting long-term (12+ months) vacant position.

Quarterly Grant Survey

This quarter's grant survey report, completed by County agencies and departments covers the period October 1 through December 31, 2006. Agencies/departments were asked to report on any grant activities during this period, including any grant application in process, applied for, or awarded.

Summary of County Grant Activities

- Of the 24 County agencies/departments, 10 reported having no grant activity during the Second Quarter of FY 06-07.
- During the reporting period, 14 County agencies/departments received a total of \$13.0 million in grant funds, and a total of \$204.2 million still pending notification. Please note that these amounts include a number of grants awarded over multiple years as expenditures occur and claims are submitted for approval. (See specific department details in the survey results.)
- Agencies/departments reported a Countywide total of 12 positions responsible for grant related activities, with an estimated staffing and services & supplies cost of \$0.9 million. These figures include departments that have identified specific staff dedicated to grant responsibilities; however, other departments only assign staff to the function as necessary.
- Resources being used to locate and apply for grants vary among departments but include eCivis Grant locator service, internet grant web pages, and professional association and governmental publications/notices.

Program I – Public Protection

Probation (Agency 057)

- Increase appropriations by \$698,360 offset by revenue from the Corrections Standards Authority for the Standards and Training for Corrections Program. These funds will be used to support training costs for Probation's correctional officers.

- Increase appropriations by \$1,258,968 offset by revenue from the State for the Juvenile Justice Crime Prevention Act. Funds will be used to support the Revised Orange County Comprehensive Multi-Agency Juvenile Justice Plan (CMJJP) as approved by the Board on November 14, 2006.
- Transfer \$15,000 from Object 1800 Office Expense to Object 4000 Equipment to cover an increase in the purchase price of a letter folding machine originally approved for purchase by the Board in Probation's FY 06-07 base budget for \$25,000.
- Add 10 limited-term (18 months) positions for Probation and Health Care Agency (HCA) including three Deputy Probation Officer IIs for Probation, and one Service Chief I, five Clinical Social Worker IIs, and one Office Specialist for HCA. Increase appropriations in Probation Agency 057 by \$579,760 offset by revenue from the Corrections Standards Authority for the Mentally Ill Offender Crime Reduction (MIOCR) Program Grant for Juvenile Offenders. Increase appropriations in HCA Agency 042 by \$260,510 offset by cost apply from Probation. Funding will also be used for parent education services.

Public Defender (Agency 058)

Add two Paralegal positions and appropriations of \$42,210 offset by revenue from the Juvenile Justice Crime Prevention Act (\$21,105) and revenue recovered under the Memorandum of Understanding between the Public Defender and Superior Court (\$21,105). The positions will serve as Case Managers within the Juvenile Truancy and Juvenile Dependency Courts.

Sheriff-Coroner (Agency 060)

- Increase appropriations by \$79,201 offset by revenue from the contract city Laguna Niguel. This increase will allow for full cost recovery of the services provided to the city.
- Add one limited-term (through June 30, 2007) Deputy Sheriff II position and appropriations of \$111,563 offset by revenue from the Capistrano School District. This position is required to meet the contractual agreement between the Sheriff and School District, and to fully recover the cost of the position.
- Add three limited-term (through June 30, 2007) Sheriff Special Officer II positions and appropriations of \$96,426 offset by cost apply from the Social Services Agency. These positions are required to provide security services at the Orange, Garden Grove and Stanton Social Services Agency locations.
- Increase appropriations by \$3,775,630 offset by revenue from the 2006 Homeland Security Grant Program. Funds will be used for the purchase of equipment to enhance the County's ability to prevent, deter, respond to and recover from threats and incidents of terrorism.
- Increase appropriations by \$199,767 offset by revenue from the 2006 DNA Capacity Enhancement Program Grant. Funds will be used for the purchase of equipment (genetic analyzer, two uninterruptible power supply systems, and workstation equipment) to increase the Coroner's efficiency in analyzing DNA samples and to reduce the turn-around time for completing cases.

- Transfer appropriations of \$37,458 from Object 0600 Clothing & Personal Items to Objects 4000 Equipment (\$25,000) and Object 1300 Maintenance (\$12,458) for the purchase and maintenance of two Mobile Data Center Computer units for the Sheriff's Reserve Forces Bureau patrol vehicles.
- Transfer appropriations of \$130,231 from Object 1900 Professional Services to Object 4000 Equipment for the purchase of patrol video camera equipment.
- Establish \$480,000 operating transfer out from Agency 060 to Transportation Internal Service Fund (ISF) 296 for the purchase of 13 vehicles (nine Class FF 4x4 trucks with winch, two Cargo Vans, one compact pick-up truck, and one super duty truck with crane) for the Sheriff's preventive maintenance program.
- Add five limited-term (18 months) positions for Probation and Health Care Agency (HCA) including one Deputy Probation Officer II for Probation, and three Clinical Social Worker IIs (two full-time and one part-time) and one Community Mental Health Psychiatrist for HCA. Increase appropriations by \$399,712 in Probation (\$55,000) and HCA (\$344,712) offset by revenue from the Corrections Standards Authority for the Mentally Ill Offender Crime Reduction (MIOCR) Program Grant. Funding will be used for programs designed to reduce recidivism among adult and juvenile mentally ill offenders.

Sheriff Regional Narcotics Suppression (Fund 118)

Transfer \$90,000 from Object 2400 Special Department Expense to Object 4000 Equipment for the purchase of equipment associated with the purchase of a new airplane. Purchase of the new airplane is currently in the bidding process. Approval of this request will provide equipment necessary for the modification of the airplane including communications equipment and window and seat assembly.

Motor Vehicle Theft Task Force (Fund 122)

Pursuant to Government Code Section 29401, and within the existing appropriations for Fund 122 Motor Vehicle Theft Task Force, increase the special appropriations by \$10,000 for a total of \$20,000. This increase is required to cover unanticipated expenses incurred in the detection and investigation of motor vehicle theft crimes.

800 MHz Countywide Coordinated Communications System [CCCS] (Fund 15L)

- Transfer \$10,000 from Object 2400 Special Department Expense to Object 4000 Equipment to accommodate an increase in the cost of an Anritsu Spectrum Analyzer previously approved for purchase in the Sheriff's FY 06-07 base budget for \$6,000. The spectrum analyzer is required to support 800 MHz interference location and elimination.
- Increase appropriations by \$1,241,293 offset by revenue from the 2006 Homeland Security Grant Program. Funds will be used toward the purchase of the Countywide digital microwave upgrade to support future high-speed data and broadband video technologies, and to continue voice communications to the 128 law enforcement, fire services, life guard, paramedic, public works, and mutual aid organizations on the 800 MHz CCCS.

- Increase operating transfer out from Sheriff-Coroner Agency 060 to Fund 15L by \$100,000 for the purchase of equipment associated with the Countywide digital microwave upgrade.

Program II – Community Services

Housing & Community Services (Agency 012)

Increase appropriations (NCC) by \$150,000 for support of 2-1-1 Orange County, the County's Information and Referral Call Center. Action taken by the Board on November 14, 2006 approved the agreement between Orange County and 2-1-1 Orange County in an amount not to exceed \$150,000. Funds will be used to continue to build call capacity.

Department of Child Support Services (Agency 027)

- Increase appropriations by \$1,285,834 offset by revenue from the State for quality improvement (\$343,027), data validation (\$192,807) and conversion activities (\$750,000) for the California Child Support Automation System (CCSAS).
- Decrease appropriations and revenue by \$404,526 to reconcile to the final State budget.
- Approve the purchase of four servers to support the CCSAS. The cost of the servers (\$120,000) will be absorbed within the existing budget as a transfer from Object 1900 Professional Services to Object 4000 Equipment.

Health Care Agency [HCA] (Agency 042)

- Add 31 positions (one Supervising Comprehensive Care Nurse, one full-time and one part-time Comprehensive Care Nurse Practitioner II, one Comprehensive Care Nurse II, ten Comprehensive Care Licensed Vocational Nurses, nine Comprehensive Care Medical Assistants, one part-time Clinical Psychologist II, two Marriage Family Therapist IIs, and five Office Technicians) to provide staffing for the Theo Lacy Branch jail expansion, and to ensure that Title 15 Minimum Standards are met within the Orange County jails. Increase appropriations (NCC) by \$739,581 to cover the cost of the new positions and related expenditures for FY 06-07.
- Add 39 positions (one Administrative Manager I, two Service Chief IIs, ten Clinical Social Worker IIs, 12 Mental Health Specialists, four Office Specialists, one Information Processing Technician, two Behavioral Health Nurses, two Community Mental Health Psychiatrists, one Systems/Programmer Analyst II, one Program Evaluation Specialist, one Buyer II, one Staff Specialist, and one Staff Assistant) for expansion of the Mental Health Services Act (MHSA) program. Increase salaries and benefits appropriations through a transfer from Object 1900 Professional Services of \$737,155, with no total appropriations or NCC impact. The cost of these positions will be fully offset by MHSA funds.
- Add eight limited-term (through November 30, 2008) positions (two Deputy Probation Officer IIs for Probation Agency 057, and three Clinical Social Worker IIs, two Community Health Assistant IIs, and one Office Specialist for HCA Agency 042) to provide enhanced Probation, alcohol and drug abuse treatment, and case management

services for the Offender Treatment Program (OTP), an enhanced program under the Substance Abuse Crime Prevention Act also known as Proposition 36. Increase appropriations and revenues in Agency 042 by \$210,789, with no NCC impact. The cost of these positions will be fully offset by OTP funds. Increase appropriations by \$72,751 in Probation Agency 057 offset by cost apply from HCA.

- Add one Senior Public Health Nurse position to support the CalWORKs program. Increase appropriations by \$37,141 fully offset by cost apply from the Social Services Agency.
- Add three Animal Control Services Representatives for the Animal Care Services Call Center. Increase salaries and benefits appropriations by \$45,442 offset by a reduction to Object 1900 Professional Services.
- Move appropriations from various object codes totaling \$300,000 to Object 4200 to fund relocation of the Employee Health and Custodian of Records Programs to 200 Santa Ana Blvd. to reduce overcrowding at HCA headquarters.
- Increase appropriations and NCC by \$1,750,000 to partially offset the uncompensated cost of emergency care provided by hospitals and physicians for FY 06-07 as directed by the Board on December 12, 2006. (Recommended Action #3)

Social Services Agency [SSA] (Agency 063)

- Increase appropriations by \$2,000,000 offset by Federal close-out revenue for increases in security, maintenance, and other services and supplies expenses.
- Add eight positions (two Administrative Manager Is, five Staff Specialists, and one Secretary II) to improve the efficiency of Human Resources services. SSA Human Resources is having difficulty meeting the demands for recruitment and employee relations services. In response to increased mandates and funding, SSA has grown from 2,795 employees in FY 94-95 to 4,145 employees in FY 06-07 with no commensurate increase in Human Resources staffing. The cost of these positions (\$273,684) will be absorbed within the existing budget.
- Add 26 positions (18 Eligibility Technicians, two Administrative Manager Is, two Eligibility Supervisors, one Secretary I, one Data Entry Technician and two Office Technicians) to address caseload growth in the Non Assistance Food Stamps (NAFS) program, additional Medi-Cal program mandates, and span of control issues. Existing Federal and State revenues will offset the cost of these positions (\$796,313) with any additional County share requirements absorbed within SSA's existing NCC budget.
- Add five limited-term (through March 1, 2008) positions (two Staff Specialists, one Senior Social Worker, one Research Analyst III, and one Systems Programmer/Analyst II) and appropriations of \$212,500 offset by revenue from the State to support the enhancement of foster care and adoption recruitment services.

In-Home Supportive Services [IHSS] Provider Payments (Agency 064)

Increase appropriations by \$700,000 offset by an operating transfer in from Fund 14T Facilities Development and Maintenance for the Independent Plus Waiver (IPW) program. The FY 06-07 IHSS budget was developed in anticipation of the State invoicing counties using revised funding formulas for the IPW program that was implemented in FY 04-05.

The State accounting system is not yet updated, and the County continues to receive invoices that are calculated using the previous funding formula, which entails a higher county share of costs. The State then issues refunds to the County in arrears. Additional appropriations are required to cover the over billings by the State that will not be refunded until FY 07-08.

CalWORKs Family Group/Unemployed Parents (Agency 065)

Increase appropriations by \$9,146,493 offset by Federal and State revenue (\$7,631,115) and a \$1,515,378 operating transfer in from Fund 14T Facilities Development and Maintenance. Caseloads were originally anticipated to drop 6%, however, the drop is now projected at 3.2%. In addition, a 3.3% Cost-of-Living Adjustment (COLA) increase in the Social Security benefits effective December 1, 2006 (payable January 2007) increases the CalWORKs cash aid amount.

Aid to Refugees (Agency 067)

Increase appropriations by \$91,000 offset by revenue from the Federal government to cover the cost of higher than anticipated caseloads.

Wraparound Program (Fund 12W)

Increase appropriations by \$2,000,000 offset by a \$1,200,000 operating transfer in from Agency 066 Aid to Families with Dependent Children Foster Care and \$800,000 from the State to cover higher than anticipated Wraparound caseloads.

Substance Abuse and Crime Prevention Act (Fund 13X)

Increase operating transfer revenue and related appropriations for Health Care Agency (\$178,464), Probation (\$45,244), Public Defender (\$13,825), and District Attorney (\$13,825) due to revenue received in excess of FY 06-07 budget. The State allocation of \$8,141,175, recorded on September 20, 2006, exceeded the budgeted revenue amount of \$7,889,817 by \$251,358. This request will maximize the claims that can be submitted in FY 06-07 by these four agencies.

In-Home Supportive Services Public Authority (Fund 590)

Increase appropriations by \$100,000 offset by an operating transfer in from Fund 14T Facilities Development and Maintenance to cover increased payroll costs in the fund.

Program III – Infrastructure and Environmental Resources

Watershed and Coastal Resources (Agency 034)

- Increase appropriations by \$120,000 offset by revenue from a State Water Resources Control Board grant for development of a sediment transport model and conceptual design plan for restoring/stabilizing a reach of Borrego Wash that is prone to erosion.
- Increase appropriations by \$30,000 offset by revenue from the Aliso watershed cities (\$24,530), Flood Fund 400 (\$3,000), Harbors, Beaches and Parks Fund 405 (\$824), Road Fund 115 (\$823), and an \$823 NCC increase. Funds are required to conduct a

detailed statistical analysis of bacteria data from the Aliso Creek watershed as part of ongoing efforts to assess water quality conditions.

Utilities (Agency 040)

Increase appropriations by \$503,697 of which \$463,622 is NCC and \$40,075 is offset by revenue from County agencies/departments. This increase is required due to increased rates charged by utility providers for electricity, water and natural gas, and changes in utility consumption due to warmer than usual weather.

Resources & Development Management Department [RDMD] (Agency 080)

- Add eleven positions (eight Custodians, two Custodial Leadworkers, and one Supervising Custodian I) and one vehicle (full-size truck) required to provide custodial services at the SSA CalWORKs West Region facility. The cost of the positions (\$204,772) will be offset by cost apply from SSA Agency 063. The cost of the vehicle will be offset by a \$30,000 operating transfer from SSA Agency 063 to Transportation ISF 296.
- Add three positions (one Administrative Manager II, one Administrative Manager I, and one Real Property Technician) and appropriations and NCC of \$88,816 for the Real Estate function. Of the nine positions previously assigned to the CEO Real Estate function, five were transferred to RDMD in the First Quarter Budget Report. An additional three positions are required to begin work on projects identified in the Facilities Master Plan.
- Increase appropriations by \$95,346 offset by cost apply from user departments for the addition of two Information Systems Technician positions (\$65,346) and a vehicle (3/4 ton truck) to provide Key Card Access System installation and repair services. Establish a \$30,000 operating transfer out from Agency 080 to Transportation ISF 296 for purchase of the vehicle.
- Increase appropriations by \$42,704 offset by cost apply and revenue from user departments for the addition of two Procurement Contract Specialists. The positions are required to provide a lead role in the development of contracts to purchase consultant, information technology and construction services, and to ensure ethical procurement procedures are adhered to.
- Increase appropriations and revenue by \$25,000 for the purchase of a replacement vehicle (cargo van) for delivery of mail and supplies between RDMD office locations. The current vehicle has experienced numerous breakdowns and is considered unreliable.
- Approve three position exchanges as part of RDMD's previously approved reorganization and Project Management Study: 1) delete one Electrician and add one Administrative Manager I to standardize project management practices to ensure consistency and accountability, maintain compliance with laws and regulations, improve operations, and facilitate service to users, contractors and stakeholders; 2) delete one Office Assistant and add one Administrative Manager I for contract monitoring, budget preparation, and project cost and monitoring assignments; 3) delete one Project Manager I and add one Project Manager III to allow for

consolidation of all major facilities contract project management under one division within RDMD. The estimated additional cost of the new positions (\$40,565) will be absorbed within the existing budget.

Dana Point Tidelands (Fund 108)

Decrease appropriations and revenue by \$65,000,000. During the FY 06-07 Budget development process the Dana Point Harbor Department anticipated a bond issuance for its landside revitalization project in the amount of \$65,000,000. Due to subsequent changes in the project schedule, the bonds will not be issued during the current fiscal year. The Department will re-budget the bond issuance when the landside revitalization project schedule and financial plan are finalized.

Library Capital (Fund 119)

- Increase appropriations by \$163,309 offset by revenue from developer deposits for replacement of the air handling system at the El Toro Branch Library.
- Increase appropriations by \$65,000 offset by an operating transfer in from Library Fund 120 to cover the cost of change orders associated with the building of the Wheeler Branch Library.

Orange County Public Library (Fund 120)

Transfer \$65,000 from Object 2400 Special Department Expense to Object 4000 Equipment for the purchase of kiosks at the Irvine Heritage Park Library to allow patrons to self checkout materials and pay fees and fines without the assistance of Library staff. Should the kiosks prove successful, the Library will evaluate expanding their use to other branches.

Integrated Waste Management Department Bankruptcy Recovery (Fund 285)

Increase revenue by \$60,000 offset by an increase to reserves to recognize partial payment related to reconciliation of hauler underbilling repayment to the County. The total amount underbilled, \$544,146, will be repaid to the County over approximately a four year period.

Integrated Waste Management Department (Fund 299)

- Add three Laborer positions to provide operational support at the Bowerman, Olinda-Alpha and Prima Deshecha landfills. Addition of the positions will help ensure that regulatory standards are met, improve relationships with surrounding communities due to esthetic compliance, and decrease the use of overtime and temporary promotions. The cost of the positions (\$80,604) is offset by a decrease to reserves.
- Recognize \$850,000 in revenue from the Coyote Canyon Landfill Settlement Agreement. Increase reserves by this amount to establish a reserve for future Coyote Canyon Landfill post closure maintenance transactions.

Harbors, Beaches and Parks (Fund 405)

- Increase appropriations by \$284,295 offset by grant revenue from the Santa Ana Watershed Project Authority (SAWPA) to remove invasive arundo plants in areas of

the Santa Ana River that flows through Featherly Regional Park. Removal of the plants is required to improve water flow.

- Transfer \$40,000 from Object 1402 Minor Alterations and Improvements to Object 4000 Equipment for the purchase of a replacement vehicle (4x4 Sport Utility Vehicle) for use by the Trails and Bikeways staff. The existing vehicle was purchased in 1991 and has become inoperable. The overall condition of the vehicle warrants replacement rather than repair.

Harbors, Beaches and Parks Capital (Fund 406)

Transfer \$100,000 from unallocated Org P002 to Org P744 Irvine Nature Center Roof Repair to procure architect and engineer design services to replace the roof at the nature center. The original roof, constructed in the 1920s, has never been replaced and is now in need of replacement due to its deteriorating state.

Program IV – General Government Services

Auditor-Controller (Agency 003)

Increase appropriations by \$991,464 of which \$378,171 is NCC, and \$613,293 is offset by revenue and cost apply to cover the cost of the revised salaries for the Accountant/Auditor, Senior Accountant/Auditor I and Administrative Management series. The revised salaries were previously approved by the Board.

County Executive Office (Agency 017)

- Add one Administrative Manager I and appropriations (NCC) of \$51,275 to enable the County Executive Office Government and Public Services unit to coordinate Board directed policies and projects.
- Add one Staff Specialist and appropriations (NCC) of \$27,088 for coordination of interaction with local offices of members of the Assembly, Senate and Congress regarding legislative issues and initiatives.

Registrar of Voters (Agency 031)

- Decrease revenue and increase NCC by \$543,990 to recognize lower than budgeted revenue due to lower than anticipated participation by billable jurisdictions during the Primary Election in June 2006.
- Increase revenue and decrease NCC by \$2,057,676 to recognize revenue from the State for the cost of the Special Statewide Election held November 8, 2005.
- Decrease revenue and increase NCC by \$1,177,197 to reconcile the actual estimated reimbursement from the State for the Voter Verifiable Paper Audit Trail (VVPAT).

The net result of the above three Registrar of Voter items is a NCC decrease of \$336,489.

Human Resources Department (Agency 054)

Increase appropriations (NCC) by \$216,000 to fund a professional services contract for a benchmark compensation survey to identify the County's place in the competitive labor market.

Program VII – Insurance, Reserves and Miscellaneous

General Fund (Fund 100)

Several technical reserve adjustments are required to allow for improved tracking of reserves. Decrease Fund 100, Agency 100 Balance Sheet Account 9813-0001 (CAPS) by \$1,500,000. Decrease Fund 100, Agency 100 Balance Sheet Account 9813-0002 (ATS) by \$1,500,000. Increase Fund 100, Agency 100 Balance Sheet Account 9812 by \$3,000,000. Allocate \$20,000,000 of existing reserves (100-100-9812) to Reporting Category RM01. Allocate \$5,500,000 of existing reserves (100-100-9812) to Reporting Category HS01. Allocate \$5,000,000 of existing reserves (100-100-9812) to Reporting Category LR01.

Plan of Adjustment Option B Pool Participants Registered Warrants (Fund 14A)

Close Fund 14A and transfer any residual balances (approximately \$17,400) to Fund 100, Agency 016 2005 Lease Revenue Refunding Bonds. Fund 14A was established to account for annual principal and interest payments payable over the period of ten years to the B-29 Claimants. On June 26, 2006, the Auditor-Controller issued the tenth and final payment to the B-29 Claimants, thereby retiring the debt; thus, Fund 14A is no longer required. (Recommended Action #6)

Transportation ISF (Fund 296)

Increase appropriations by \$100,000 offset by a decrease to reserves for the purchase of two service body attachments with cranes to be installed on two replacement vehicles already budgeted in the FY 06-07 budget.

Other Issues

- Included in the Recommended Actions section of this report is the *Negotiated Salary Adjustment Schedule*, which allocates NCC to departments for the previously approved Cost of Living Adjustments (COLA) effective June 23, 2006. The cost of the adjustments was included in the FY 06-07 Budget, thus, there is no increase in NCC as a result of the adjustments included in this report. Adjustments for Non General Fund departments requiring additional appropriations to cover the cost of the increases are also included on the *Negotiated Salary Adjustment Schedule*.
- Included in the *Budget Adjustment Summary* are four technical adjustments required to balance Fund 283 John Wayne Airport Debt Service (increase reserves by \$45), Fund 289 Information Technology (decrease revenue by \$78,620), Fund 290 Health Maintenance Organization (decrease revenue by \$1,300,000), and Fund 29Z Life Insurance ISF (decrease appropriations by \$356,456). These adjustments are required as a result of Fund Balance Available (FBA) adjustments made in the First Quarter Budget Report.

QUARTERLY GRANT SURVEY
2nd Quarter - FY 2006/07 (October 1 - December 31)

Grant Activity During the 2nd Quarter

Department/Agency	Number of Grants				Awarded Amount			Pending Award Amount	Denied Amount	Eligible Amount
	Awarded	Pending	Denied	Total	Received prior to 06/07	Received in 06/07	Pending Receipt			
Program I - Public Protection										
District Attorney (026)*	4	0	0	4	\$140,000	\$0	\$1,075,292	\$0	\$0	\$1,215,292
Probation (057)*	4	0	0	4	\$0	\$0	\$8,785,618	\$0	\$0	\$8,785,618
Public Defender (058)	1	1	1	3	\$0	\$30,000	\$0	\$0	\$143,000	\$173,000
Sheriff-Coroner (060)*	12	3	0	15	\$549,155	\$302,377	\$2,295,586	\$1,704,256	\$0	\$4,851,374
Program II - Community Services										
Health Care Agency (042)*	5	2	0	7	\$1,192,302	\$439,051	\$5,681,239	\$69,200,000	\$0	\$76,512,592
Social Services Agency (063)*	16	0	0	16	\$4,074,593	\$210,765	\$5,507,300	\$0	\$0	\$9,792,658
Housing & Community Services (15G &012)*	2	3	0	5	\$0	\$2,437,290	\$0	\$1,400,000	\$0	\$3,837,290
Program III - Infrastructure & Environmental Resources										
Dana Point Harbor (108)	1	0	0	1	\$0	\$0	\$2,541,000	\$0	\$0	\$2,541,000
Orange County Public Library (120)	9	1	0	10	\$11,250	\$45,750	\$96,738	\$22,500	\$0	\$176,238
Resources & Development Management Dept (034, 115, 296, 400)*	18	4	0	22	\$0	\$8,417,543	\$55,924,323	\$36,122,000	\$1,000,000	\$101,463,866
John Wayne Airport (280)*	6	0	0	6	\$1,647,789	\$1,591,387	\$227,398	\$0	\$0	\$3,466,574
Program IV - General Government										
County Executive Office (036)	1	0	0	1	\$0	\$0	\$204,101	\$0	\$0	\$204,101
Registrar of Voters (031)	2	1	0	3	\$421,008	\$616,008	\$287,936	\$13,114,000	\$0	\$14,438,952
Clerk-Recorder (059)	1	0	0	1	\$0	\$0	\$0	\$0	\$150,000	\$150,000
TOTAL	82	15	1	98	\$8,036,097	\$14,090,171	\$82,626,531	\$121,562,756	\$1,293,000	\$227,608,555

* Represents departments/agencies with grants awarded over multiple years, therefore, dollar amounts may include multi-year allocations.

QUARTERLY GRANT SURVEY
2nd Quarter - FY 2006/07 (October 1 - December 31)

PROGRAM I - PUBLIC PROTECTION

Department/Agency: DISTRICT ATTORNEY (Agency 026)
Contact Name & Phone Number: Kenneth Tran, 347-8438
Number of FTE's responsible for grant writing: 1.0 FTE
Annual staff and any services & supplies costs related to the grant function: \$71,670
Specify any special services/tools/resources used to track available grant: Department utilizes the eCivis software program.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Project Safe Neighborhoods	Office of Emergency Services (OES)	Prosecute firearms cases	Annual	\$ 182,880	\$ -	\$ 42,880	\$ -	\$140K received FY 05-06. Additional funding of \$42,880 is pending notification.
2 Vertical Prosecution Block Grant	Office of Emergency Services	Prosecute statutory rape, child abuse, major narcotics and career criminal cases.	Annual	798,379		798,379		Approved
3 Spousal Abuser Prosecution Program (SAPP)	State DOJ	Enhance the ability of Orange County prosecutors to successfully prosecute serious and repeat spousal abusers.	Annual	95,033		95,033		Approved
4 Anti-Drug Abuse Program (formerly Orange County Methamphetamine Lab Investigation)	State OHS/OES (thru Sheriff Dept)	Prosecute Methamphetamine Manufacturers	Annual	139,000		139,000		Approved
Total D.A.:				\$ 1,215,292	\$ -	\$ 1,075,292	\$ -	

Department/Agency: PROBATION (Agency 057)
Contact Name & Phone Number: Frank Kim 937-4728
Number of FTE's responsible for grant writing: The department has 1 dedicated position functioning as the Grant Coordinator. A team comprised of staff from research, finance, and administration is available to assist as needed on each application.
Annual staff and any services & supplies costs related to the grant function: Approximately \$100,000. Can be more depending on the number of grant opportunities.
Specify any special services/tools/resources used to track available grant: eCivis; websites of Probation resources such as State and Federal law enforcement agencies; contacts and sources from other Counties.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Juvenile Sex Offender Management (JSOM)	Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice	Assessing current system of resources, policies and practices for the management of juvenile sex offenders; providing assistance to victims; identifying gaps; developing a comprehensive strategy for management of these cases.	1-time	250,000	0	250,000		The grant has been awarded to Orange County Probation. The Department will go to the Board with a recommendation to accept the grant on October 17th.
2 Mentally Ill Offender Crime Reduction Grant (MIOCR)	State of California, California Department of Corrections and Rehabilitation (CDCR), Corrections Standards Authority	To reduce recidivism among mentally ill juvenile offenders, consistent with the purpose and intent of SB 1485, an initiative designed to determine the most effective strategies to reducing involvement of mentally ill offenders in the criminal justice system.	Annual Funding	1,499,378		1,499,378		Orange County Probation was notified of award of the Juvenile MIOCR grant on December 20, 2006. The Department is preparing an ASR to request acceptance of the award.

PROBATION Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
3 SB 618 County Assessment Program	State of California, California Department of Corrections and Rehabilitation (CDCR)	To perform assessments and create case plans for successful reintegration of nonviolent felony offenders that focuses on probation violators who are sentenced to prison.	1-time, 3 year grant term	\$6.9M requested		\$6.9M requested		The Board of Supervisors approved submission of the grant application on November 21, 2006. The Department completed the application process and is now awaiting notice of award.
3 Residential Substance Abuse Treatment	Office of Emergency Services	Enhanced services for 75 male minors serving court-ordered commitments in the Addiction and Substance Abuse, Education and Recognition and Treatment (ASERT) program at the Youth Guidance Center.	Annual Funding	\$136,240 (total project, of which 75% or \$102,180 is the federally funded amount)		N/A (application being reviewed by grantor)		On November 2, 2006, the Probation Department received notification of grant approval. The Board of Supervisors approved the new grant agreement on December 19, 2006.
Total PROBATION:				\$ 8,785,618	\$ -	\$ 8,785,618	\$ -	

Department/Agency:

PUBLIC DEFENDER (Agency 058)

Contact Name & Phone Number:

Becky Juliano 834-3182

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grant The department uses the eCivis services and website for researching grant opportunities as well as utilizing state, federal, and private sights to seek grant opportunities.

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Truancy Court Grant	JJCPA	A caseworker who can enhance the ability of families to work together to improve students attendance in school through testing, intervention, education, training, and monitoring.	Annual	\$ 30,000	\$ 30,000			JJCPA Funding levels approved by the Board of Supervisors Nov. 14, 2006.
2 Treatment for Homeless	Federal - Dept of Health & Human Services - Substance abuse & Mental Health Services Admin	Assist clients with program and resource coordination and monitoring through the Orange County Homeless Court	Annual	60,000			60,000	Committee decided funding other programs. Department looking for applicable grant.
3 Juvenile Placement Services	State or Private Funding	To ensure the placement of juvenile offenders is best suited to meet the specific needs of the minor for successful rehabilitation pursuant to Calif. Rule of Court 1479.	Annual	83,000	0	0	83,000	JJCC denied proposal. Department looking for applicable grant.
Total PUBLIC DEFENDER:				\$ 173,000	\$ 30,000	\$ -	\$ 143,000	

Department/Agency: SHERIFF-CORONER (Agency 060)

Contact Name & Phone Number: Dianne DeVargas 714-935-6937

Number of FTE's responsible for grant writing: 1.3 FTE

Annual staff and any services & supplies costs related to the grant function: \$211,455

Specify any special services/tools/resources used to track available grant Department utilizes the National Institute of Justice, Federal Grants automatic notification systems, Ecvivis, Justice Planning and Management Associates, Office of Emergency Services, and Department of Justice

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Portable Evidential Breath Testing (PEBT) - Upgrade	CA Office of Traffic Safety	Forensics: Upgrade the use of PEBT's for DUI Enforcement	1-Time	\$ 345,000	\$ 32,240	\$ 304,820		Approved, \$3,129 received FY 05-06. \$4,810.35 received 1st Q 06-07
2 DNA Backlog Reduction Grant	National Institute of Justice	Forensics: DNA Backlog Reduction	1-time	173,440	13,635	38,348		Approved; \$103,549 received FY 05-06. \$17,908 received 1st Qtr 06-07
3 2004 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	226,674	53,345	0		Approved; \$163,950 received FY 05-06. Received \$9,379 1st Q 06-07
4 DNA Expansion Program (Inter Agency Agreement)	National Institute of Justice	Forensics: DNA Caseload Expansion to Property Crimes	1-time	495,505	55,230	343,976		Approved. \$96,299 received 1st Q 06-07
5 2005 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	93,639	0	93,639		Approved.
6 2005 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	164,395	0	164,395		Approved
7 2006 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	199,767	0	199,767		Original amount of \$138,702 increased to \$199,767 and awarded by NIJ
8 2006 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	104,256	0	104,256		Application in process
9 Mentally Ill Offender Crime Reduction (MIOCR) Grant	Department of Corrections and Rehabilitation (Corrections Standards Authority)	Jail Operations: Collaborative, joint-agency program to identify mentally ill (in-custody) inmates who may be better served in a non-custodial environment. Multi-agency collaboration with Health Care Agency, County Probation, District Attorney, and Superior Court, as well as community-based organizations.	Annual (Initial funding for 18 month period, which includes program implementation; annual thereafter)	1,500,000	0	1,500,000		Application submitted. Waiting on the State's application review and awarding of grant funds.
10 Boating-Marine Fires	California Department of Boating and Waterways	Harbor Patrol marine firefighting training class	Annual	22,000	0	22,000		Pending disbursement from DBAW
11 Boating Safety and Enforcement Equipment Grant	California Department of Boating and Waterways	Harbor Patrol law enforcement equipment	1 time	39,849	0	39,849		Pending disbursement from DBAW
12 Avoid South County Regional DUI Task Force	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	2-Year grant (10/1/04-12/31/06)	540,570	0	331,882	0	Approved; \$208,688 received in FY 05-06
13 Avoid the Ten DUI Campaign-South Orange County	Office of Traffic Safety (OTS)	DUI Enforcement and Educational Programs	40-Month Grant	493,800	0	493,800		Approved by OTS; Board of Supervisors to review for approval January 2007

SHERIFF CORONER Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
14 Solving Cold Cases With DNA	OJP-NIJ	C.I.D., Review & Prioritize Cold Cases / DNA Analysis of Biological Evidence	2 -year	352,480	13,295	263,110		Approved. \$69,839 received in FY 05-06, \$6,236 received 1st Qtr
15 Intergovernmental Partnership Grant Program	California Department of Corrections and Rehabilitation	Implement and expand innovative programs to reduce prisoner recidivism	1-Time	100,000	0	100,000		Application in Process
Total SHERIFF-CORONER:				\$ 4,851,375	\$ 167,745	\$ 3,999,842	\$ -	

Department/Agency: HEALTH CARE AGENCY (Agency 042)
Contact Name & Phone Number: Janet Holcomb 834-3158
Number of FTE's responsible for grant writing: Varies - HCA does not have staff solely assigned to grant writing
Annual staff and any services & supplies costs related to the grant function: Varies - HCA does not have staff solely assigned to a grant function
Specify any special services/tools/resources used to track available grants: eCivis software, through County price agreement

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Ryan White CARE Act, Title II	State Dept of Health Services	Outpatient medical care services to persons infected with HIV	4/1/05 - 3/31/07	\$ 1,729,828	\$ 124,156	\$ 285,772	\$ -	Approved - \$1,163,159 received FY05-06, \$156,741 in 1st Qtr
2 State Incentive Grant	State Department of Alcohol and Drug Programs	Campus - Community Alcohol Management Project	10/1/05 - 9/30/06	352,500	93,660	165,203	0	Approved - \$29,143 received FY05-06, \$64,494 in 1st Qtr
3 Nurse-Family Partnership	Children and Families Commission of Orange County (Prop 10)	Case management and in-home visitation to pregnant/parenting women and their families	7/1/06 - 6/30/08	648,000	0	648,000	0	Awarded
4 Perinatal Substance Abuse Services Initiative	Children and Families Commission of Orange County (Prop 10)	In-home visitation targeting at-risk pregnant women with histories of substance abuse and/or HIV infection	7/1/06 - 6/30/08	356,100	0	356,100	0	Awarded
5 Coverage Initiative	State Department of Health Services, Medi-Cal Operations Division	Expansion and improvement of insurance coverage in California to uninsured populations that are currently ineligible for public insurance programs	9/1/07 - 9/1/10	69,000,000	0	69,000,000		Application in process
6 Prop 36 Offender Treatment Program	State Department of Alcohol and Drug Programs	Provide enhanced services and capacity to dramatically increase entrance, retention, and graduation rates of Prop 36 clients	12/1/06 - 11/30/08	4,226,164	0	4,226,164	0	Awarded
7 Justice and Mental Health Collaboration Program	U. S. Department of Justice	Increase public safety through innovative cross-system collaboration for individuals with mental illness who come into contact with the criminal or juvenile justice systems	1-time, 24 months	200,000	0	200,000	0	Application in process
Total HCA:				\$ 76,512,592	\$ 217,816	\$ 74,881,239	\$ -	

Department/Agency: SOCIAL SERVICES AGENCY (Agency 063)
Contact Name & Phone Number: Randi Dunlap 541-7704
Number of FTE's responsible for grant writing: 1.98 FTE
Annual staff and any services & supplies costs related to the grant function: S&EB \$132,204/Travel expenses \$6,000
Specify any special services/tools/resources used to track available grant: Agency uses standard business software: MS Word. Some service providers use MS Access software

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	Current Status (Approved/ Denied/Pending Notification/Application in Process)
1 Child Abuse Treatment Program (CHAT)	State of California Office of Emergency Services (OES)	For comprehensive treatment services for child victims of abuse and neglect.	Three-year - Funded annually	\$ 600,000	\$ -	\$ 600,000	\$ -	Approved for 10/1/05 to 9/30/08.
2 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	150,000	0	150,000		Approved for 10/1/05 to 9/30/08.
3 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	187,500	0	187,500		Approved for 10/1/05 to 9/30/08. Changed the amount from \$187000 to \$187500 per E. Heppner
4 Child Abuse Prevention, Intervention & Treatment (CAPIT)	CDSS OCAP	For addressing needs of children at high-risk of abuse or neglect and their families. Funding will be used for primary prevention services such as home based visiting programs, parent education, and respite care services.	Three-year - Funded annually	1,759,570	0	879,785		Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$879,785 received in 05/06
5 Promoting Safe and Stable Families (PSSF)	CDSS OCAP	For community-based collaboratives operating family resource centers to provide a comprehensive continuum of integrated community-based prevention, intervention, and treatment services as defined by the respective FRC's communities	Three-year - Funded annually	5,341,590	0	2,737,052		Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$2,604,538 received in 05/06
6 Community-Based Child Abuse Prevention (CBCAP)	CDSS OCAP	To foster parent and community leadership for the family resource centers to serve as a vehicle for individual, family, and community change.	Three-year - Funded annually	107,474	0	0		Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$107474 received in 06/07 Per M. Engram
7 Family to Family Planning	Stuart Foundation	To support implementation of the Family to Family Initiative.	Three-year beginning 2003 to 2007	300,000	0	100,000	\$ -	Grant is extended for a 4th year to allow spending the remaining. \$200,000 received in FY05-06, \$100,000 due in October 2006
8 Refugee Employment Social Services and Set Aside	California Dept of Health and Human Services	Refugee Services	Annual	302,571	37,652	129,620		Approved 10/01/04 - 09/30/06, \$135,299 received in 05/06
9 Refugee Employment Social Services Discretionary	California Dept of Health and Human Services	Refugee Marriage and Pre-Marriage Education	Annual	200,000	0	70,993		Approved 09/30/03 - 09/29/06, \$129,007 received in 05/06
10 Targeted Assistance Discretionary Grant to the Cambodian Family for Building the Future	California Dept of Health and Human Services	Child Care Provider Training for Refugee Women and Men	Annual	239,386	48,030	65,392		Approved 09/30/03 - 09/29/06; \$125,964 received in 05/06
11 2004 Refugee Employment Social Services Rollover	California Dept of Health and Human Services	Refugee Services	Annual	87,431	0	87,431		Approved 10/01/04 - 09/30/06

SOCIAL SERVICES AGENCY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
12 2004 Refugee Employment Social Services augmentation	California Dept of Health and Human Services	Refugee Services	Annual	24,879	9,868	15,011		Approved 10/01/04 - 09/30/06, 4th quarter eligibility amount increased from \$14,437
13 2004 Targeted Assistance Augmentation	California Dept of Health and Human Services	Refugee Services	Annual	5,869	3,375	2,494		Approved 10/01/04 - 09/30/06
14 2005 Targeted Assistance Discretionary Grant Older Refugee Services	California Dept of Health and Human Services	Older Refugee Services	Annual	10,825	4,366	6,459		Approved 10/01/05 - 09/30/06
15 2006 Refugee Social Services	California Dept of Health and Human Services	Refugee Services	Annual	464,291	0	464,291		Approved 10/01/06 - 09/30/07
16 2006 Older Refugee Discretionary Grant	California Dept of Health and Human Services	Older Refugee Services	Annual	11,272	0	11,272		Approved 9/30/06 - 09/29/07
Total SSA:				\$ 9,792,658	\$ 103,291	\$ 5,507,300	\$ -	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grant

HOUSING & COMMUNITY SERVICES (Funds 15G & 012)

Connie Chang 480-2990

Approx. 2.0 FTE

Approx. \$136,000 for S&EB

Community Services: Resource publications & notices from U.S. Department of Labor; CA Employment Development Department; local, state and federal partner agencies, Orange County Housing Authority; Annual Family Self Sufficiency (FSS) and other performance reports

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Shelter Plus Care - New 5 Year Grant	U.S. Department of Housing & Urban Development	Provides rental assistance for homeless persons with disabilities.	Five-year Funding	\$ 2,212,290	\$ 2,212,290			Approved Dec. 2005, received contract Nov., 2006
2 Veterans' Employment-Related Assistance Program Funds	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds and 25% Dislocated Worker Additional Assistance funds	Funds may be used to assist veterans who have significant barriers to obtaining meaningful employment. Applicants are to provide employment and training services, supportive services, placement and follow-up through a case management approach.	18 Months Funding	500,000		500,000		Application is pending notification. Application was due on October 16, 2006.
3 Workforce Investment Act Funds	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds	Funds are to be used where there is a maximum return on investment both in assisting job seekers to enter employment and to retrain existing workers in high-need and high wage sectors of the economy in the following categories: 1) Growth Industries - High Wage, High Skill Job Training; 2) Industries with a Statewide Need; and 3) Advancing Workers with Barriers to Employment	18-24 Months Funding	600,000		600,000		Application is pending notification. Application was due on October 23, 2006.

HOUSING & COMMUNITY SERVICES Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
4 California Rapid Response Special Project Funding Application	U.S. Dept. of Labor (via State of California) WIA Rapid Response Funds	To provide services that are needed to respond to all dislocation events. Projects should improve the connection of the Workforce Investment System with economic development and education to contribute to the economic vitality of individuals, businesses, and the community.	One-Year Funding	225,000	225,000			Application has been funded at the full requested amount of \$225,000. Application was due on September 15, 2006.
5 Workforce Investment Act Funds - Pre-Vocational Training Grant	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds	Primary goal of the SFP is to increase the number of at-risk youth who are prepared to enter career technical training, post-secondary educational programs, apprenticeships, or employment in high wage/high growth occupations by: 1) Introducing them to locally based high wage/high growth career options; and 2) Providing one or both of the following: Programs designed to improve basic academic skills (reading, writing and math, including completion of a GED); and/or Industry identified soft skills training (i.e. problem solving, work ethics, communications, etc.).	18-24 Months Funding	\$ 300,000		\$ 300,000		Application is currently being drafted. Application is due on January 8, 2007.
Total H&CS:				\$ 3,837,290	\$ 2,437,290	\$ 1,400,000	\$ -	

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Department/Agency: DANA POINT HARBOR DEPARTMENT (Fund 108)
Contact Name & Phone Number: Louis McClure (949) 923-2205
Number of FTE's responsible for grant writing: No specific staff assigned to grant writing
Annual staff and any services & supplies costs related to the grant function: To be determined
Specify any special services/tools/resources used to track available grant revenue: Civis, contacts from other Counties and State agencies

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Dana Point Harbor Department Launch Ramp	CA Department of Boating and Waterways	Dana Point Harbor Launch Ramp Renovation	1-time	\$ 2,541,000	\$ -	\$ 2,541,000	\$ -	Construction began September 2006. Reimbursement in process.
Total DANA POINT HARBOR:				\$ 2,541,000	\$ -	\$ 2,541,000	\$ -	

Department/Agency:

ORANGE COUNTY PUBLIC LIBRARY (Fund 120)

Contact Name & Phone Number:

Steve Siemion 566-3027

Number of FTE's responsible for grant writing:

0 (assigned to various affected functions)

Annual staff and any services & supplies costs related to the grant function:

\$2,219.00

Specify any special services/tools/resources used to track available grant:

California State Library (<http://www.library.ca.gov/html/grants.cfm>), CALIX (library professionals' listserv), participation in literacy programs such as ProLiteracy or CALit, CA Department of Education listserv (automated e-mail distributions), Foundations and Grants Websites listings.

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Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 California Library Literacy & English Acquisition Services Program (CLLS) 2006-07	California State Library	To provide literacy tutoring and resources for enhanced English skills	Annual	\$ 101,057	\$ 20,000	\$ 81,057	\$ -	Approved; \$20K reported in 2nd Qtr 06-07.
2 English Literacy and Civics Education Grant 2005-06	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	22,500	5,625	0	0	Approved; \$11,250 rec'd in 4th qtr 05-06; \$5,625 rec'd in 1st Qtr 06-07; \$5,625 rec'd in 2nd Qtr 06-07.
3 English Literacy and Civics Education Grant 2006-07	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	22,500	0	22,500	0	Pending Notification
4 LSTA (Library Services & Technology Act) Early Learning with Families (ELF) @ Your Library	Office Of Library Services (federal, passed through State Library)	Parent Education Program workshops for parents of pre-school children – preparation for school	One-time	5,000	0	5,000	0	Approved
5 LSTA (Library Services and Technology Act) Local History Digital Resources Project	Office Of Library Services (federal, passed through State Library)	To digitally archive local photographs and documents	One-time	5,000	5,000	0	0	Approved; \$5,000 reported in 2nd Qtr 06-07.
6 LSTA (Library Services and Technology Act) Staff Education Program Fiscal Year	Office Of Library Services (federal, passed through State Library)	To provide tuition reimbursement for library science college classes taken by eligible	Annual	9,481	0	9,481	0	Approved
7 Target Community Giving Program Grant (Aliso Viejo Sparklers Storytime)	Target Stores	To provide opportunities for children to read stories in groups with the assistance of a professional storyteller	Annual	2,000	2,000	0	0	Approved; \$2,000 received during 2nd quarter
8 Target Community Giving Program Grant (Target Toddler Totes)	Target Stores	Materials to be checked out by parents of toddlers	One-time	7,500	7,500	0	0	Approved; \$7,500 received during 2nd quarter
9 Target Community Giving Program Grant (Westminster Children's Puppet Theater)	Target Stores	Puppets for Puppet Theater children's events	One-time	1,200	0	1,200	0	Approved
10 We the People Bookshelf	National Endowment for the Humanities	Books received from this grant are thematically linked to the grant's "Becoming American" theme. The La Habra library will display materials received and present activities emphasizing the historical role of immigrant citizens in the La Habra community.	One-time	In-kind grant (books)	0	Not yet received	0	Books received prior to 2nd quarter, but not reported to OCPL admin until 2nd quarter
Total LIBRARY:				\$ 176,238	\$ 40,125	\$ 119,238	\$ -	

Department/Agency:

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT (Agency 034, 296, 115, 400)

Contact Name & Phone Number:

Greg Lepore 667-8357

Number of FTE's responsible for grant writing:

4.55 FTE

Annual staff and any services & supplies costs related to the grant function:

\$246,859.00

Specify any special services/tools/resources used to track available grants: eCivis Grants Locator, Grants.Gov Website, Catalog for Domestic Assistance (CFDA), Private Foundations, State web site - www.oes.ca.gov (Hazard Mitigation)

RDMD/WATERSHED AND COASTAL RESOURCES (Agency 034)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Proposition 12	State Coastal Conservancy & Wetlands Recovery Project	Upper Newport Bay Ecosystem Restoration Project	1-time	\$ 12,500,000	\$ 2,961,848	\$ 9,538,152	\$ -	Approved - project in progress
2 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Newport Bay Nutrient TMDL Dissolved Oxygen & Algae Distribution Study	1-time	250,300	154,410	95,890		Approved - project near completion
3 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Newport Bay Fecal Coliform Source Identification & Management Plan	1-time	780,000	260,702	519,298		Approved - project in progress
4 Proposition 13 PRISM Nonpoint Source Pollution Control Grant Program	State Water Resources Control Board	San Diego Creek Sediment Pesticide Study	1-time	188,254	33,389	154,865		Approved - project in progress
5 Proposition 40 Phase II - Clean Beaches Initiative	State Water Resources Control Board	Poche Beach UV Bacteria Disinfection System	1-time	1,500,000	-	1,500,000		Approved
6 Illegal Disposal Site Grant Program	California Integrated Waste Management Board	Poche Beach UV Bacteria Disinfection System & Source-Tracking Investigation	1-time	624,020	0	624,020		Approved
7 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Munger Drain Sand Filter Water Quality Treatment System	1 time	204,500	152,246	52,254		Approved: project presently in final stages, scheduled for completion in March 2007
8 Proposition 13-Nonpoint Source Pollution Program	State Water Resources Control Board	Coyote-Carbon Watershed Management Plan	1 time	200,000	195,925	4,075		Approved - project in progress
9 Propositions 40 & 50	Rivers & Mountains Conservancy	Coyote-Carbon Watershed Management Plan	1 time	150,000	34,915	115,085		Approved - project in progress
10 Proposition 40	Coastal Conservancy	Coyote-Carbon Watershed Management Plan	1 time	130,000	64,419	65,581		Approved - project in progress
11 Proposition 13-Nonpoint Source Pollution Program	State Water Resources Control Board	Narco Channel Streambank Stabilization Project	1-time	1,438,300	85,512	1,352,788		Approved - project in progress
12 National Boating Infrastructure Grant	State Department of Boating and Waterways	Rebuild Newport Harbor Patrol Guest Docks	1-time	472,300	-	472,300		Pending
13 Proposition 50, Chapter 8	State Water Board & Dept of Water Resources	Integrated Regional Water Management Plan for San Juan Hydrologic Unit	1-time	25,000,000	-	25,000,000		Pending: recommended for funding 11/13/06; final approval vote scheduled 1/17/07
14 Baby Beach Circulation Project	State Water Resources Control Board - Clean Beaches Initiative Grant Program	Mechanical circulation of Dana Point Harbor - Baby Beach area to improve water quality for water contact recreation	1-time	1,000,000	0	0	1,000,000	Grant returned due to long term maintenance requirements
Subtotal:				\$ 44,437,674	\$ 3,943,366	\$ 39,494,308	\$ 1,000,000	

RDMD/Road Division (Fund 115)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Measure M - Local Sales Tax: (Combined Transportation Funding Program)	OCTA/Local Sales Tax	Roads, Bridges, Traffic Signals, etc.	Biannual	\$ 26,086,725	\$ 4,474,177	\$ 21,612,548		58 allocations over nine fiscal years - starting FY 02-03. In addition to the amount received, \$1,935,000 has been invoiced in this quarter.

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
2 TEA-21 & Safe Accountable Flexible Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Federal grants: (Seismic Retrofit, HBRR, RSTP, AHRP [pavement rehab.], & HES)	CalTrans/Federal Highway Administration (FHWA)	Roads, Bridges, Pavement, Bikeways	Annual	\$ 18,978,167	0	\$ 18,978,167		17 allocations over eight fiscal years - starting FY 04-05.
3 State grants: (State Match, Safe Routes to School (SR2S))	CalTrans/State	Sidewalks, Bikeways, Curb & Gutter, Drainage	Annual (+/-)	339,300	0	339,300		4 allocations over three fiscal years - starting FY04-05.
Subtotal:				\$ 45,404,192	\$ 4,474,177	\$ 40,930,015	\$ -	

RDMD/INTERNAL SERVICES TRANSPORTATION (Fund 296)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 MSRC (Mobile Source Air Reduction Pollution Committee)	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	350000 (matching)	\$ -	\$ 350,000	\$ -	Approved
2 California Energy Commission	California Energy Commission	Construction of a Compressed Natural Gas (CNG)	1-time	150,000	-	150,000		Approved
3 SCAQMD (South Coast Air Quality Management District) Unsolicited grant	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	0- 500000	-	0- 500000		Application Pending on project status
Subtotal:				\$ 1,000,000	\$ -	\$ 1,000,000	\$ -	

RDMD/Flood Control District (Fund 400)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Hazard Mitigation Grant Program for DR-1577 and DR-1585	Federal Emergency Management Agency (FEMA)	Construction of East Garden Grove Wintersburg Channel (C05) from 2600' d/s Graham St to u/s Graham St	1-time after constructed	\$ 7,500,000		\$ 7,500,000	\$ -	Pending Notification
2 Hazard Mitigation Grant Program for DR-1577 and DR-1585	FEMA	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave	1-time after constructed	3,122,000		3,122,000		Unofficially received Letter of Obligation from FEMA to Office of Emergency Services (OES). Project amount (FEMA - \$3,050,00). Subgrantee amount (OES - \$72,000).
Subtotal:				\$ 10,622,000	\$ -	\$ 10,622,000	\$ -	
Total RDMD:				\$ 101,463,866	\$ 8,417,543	\$ 92,046,323	\$ 1,000,000	

Department/Agency: JOHN WAYNE AIRPORT (Fund 280)
Contact Name & Phone Number: Norman Wohkittel (949) 252-5191
Number of FTE's responsible for grant writing: 5% of an A&E Project Manager for grant administration; 20% of a Senior Accountant/Auditor I position for grant claims
Annual staff and any services & supplies costs related to the grant function: \$27,776
Specify any special services/tools/resources used to track available grant: The Federal Airport Improvement Program (AIP) manual is the primary directive for applying for grants available from the Federal Aviation Administration (FAA), for reimbursement of costs associated with certain eligible airport improvement (capital project) expenditures. The Federal Register is the authoritative guide for applying for grants available from the Transportation Security Administration (TSA) for reimbursement of certain eligible security-related expenditures

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 AIP Grant #30	Federal Aviation Admin. (FAA)	Baggage Screening Improvements	Open	\$ 1,459,117	\$ 1,459,117	\$ -	\$ -	Remaining available funds for future claims is \$2,783,999.
2 AIP Grant #32	Federal Aviation Admin. (FAA)	Construct Airport Fire Station #33 and Reconstruct Landing Surface (runway) 19R/1L	Open	955,892	0	22,804	0	Remaining available funds for future claims is \$3,627,397. \$933,088 received FY 05-06
3 AIP Grant #34	FAA	Construct South Aircraft Remain-Overnight Apron - Design Phase	Open	54,139	0	14,043	0	Remaining available funds for future claims is \$760,585. \$40,096 received in FY 05-06
4 TSA Explosives Detection Canine Teams	Transportation Security Administration (TSA)	Security Personnel Assigned to Explosive Detection Canine Program	Annual	150,000	0	0	0	Remaining available funds for future claims is \$36,240. \$112,246 received in FY 05-06, \$37,754 received in 1st Qtr
5 TSA Law Enforcement Officers (LEOs)	TSA	Security Personnel Assigned to Screening Checkpoints	Annual	847,426	94,516	190,551	0	Remaining available funds for future claims is \$517,404. \$562,359 received in FY 05-06.
6 Caltrans	State of California	Reimbursement of Elevated Roadways Seismic Retrofit	Open	0	0	0	0	Remaining avail. funds for future claims is \$450,000.
Total JWA:				\$ 3,466,574	\$ 1,553,633	\$ 227,398	\$ -	

Department/Agency: REGISTRAR OF VOTERS (Agency 031)
Contact Name & Phone Number: Kate Gold 567-5107
Number of FTE's responsible for grant writing: Varies - ROV does not have staff solely assigned to grant writing
Annual staff and any services & supplies costs related to the grant function: Varies - ROV does not have staff solely assigned to a grant function
Specify any special services/tools/resources used to track available grant: State and Federal agencies website; contacts and other sources from other Counties

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Voter Verifiable Paper Audit Trail (VVPAT)	Help America Vote Act (HAVA)	Purchase & Installation of the Voter Verifiable Paper Audit Trail (VVPAT) devices on existing Direct Record Electronic (DRE) Voting system and related voter and poll worker outreach and education.	1-time	\$ 13,114,000	\$ -	\$ 13,114,000	\$ -	Application in Process.
2 Statewide Voter Database	Help America Vote Act (HAVA)	Per HAVA mandate, develop statewide voter registration database which requires Counties to exchange voter registration data with the Secretary of State.	1-time	1,037,016	64,008	0	0	Approved. \$421,008 received FY 05/06; \$552,000 received in 1st Qtr (FY 06/07).

REGISTRAR Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
3 Election Assistance for Individuals with Disabilities (EAID)	Help America Vote Act (HAVA)	Improve poll site accessibility for individuals with disabilities.	1-time	287,936	-	287,936		Approved by the Board on 12/13/05.
Total ROV:				\$ 14,438,952	\$ 64,008	\$ 13,401,936	\$ -	

Department/Agency: **CLERK-RECORDER (Agency 059)**
 Contact Name & Phone Number: Jean Pasco 834-2083
 Number of FTE's responsible for grant writing:
 Annual staff and any services & supplies costs related to the grant function:
 Specify any special services/tools/resources used to track available grant: Outside services

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 California Cultural and Historical Endowment	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Act of 2002 (aka: Proposition 40)	Funding to be used by the County Archives for the planning and creation of schematics, drawings and development designs for permanent exhibits at the Old County Courthouse	1-time	\$ 150,000	\$ -	\$ -	\$ 150,000	Grant funds declined due to change in use
Total Clerk-Recorder:				\$ 150,000	\$ -	\$ -	\$ 150,000	

Department/Agency: **COUNTY EXECUTIVE OFFICE (Agency 036)**
 Contact Name & Phone Number: Anil Kukreja 834-4146
 Number of FTE's responsible for grant writing:
 Annual staff and any services & supplies costs related to the grant function:
 Specify any special services/tools/resources used to track available grants:

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Amount Not Awarded	(Approved/ Denied/Pending Notification/Application in Process)
1 Community Development Block Grant (CDBG) CJC Improvements for Weapons Screening Rm Project	HUD through HCS	If funded, the CDBG grant award of \$400,000 (minimum request amount) will be used for the evaluation and potential relocation or elimination of the weapons screening points which are currently located at the North/South and East Entrances. The project will enhance the emergency egress from the Central Courthouse for people with disabilities.	1-time	\$ 204,101	-	\$ 204,101	\$ -	Approved
Total CEO:				\$ 204,101	\$ -	\$ 204,101	\$ -	
TOTAL COUNTY:				\$ 227,608,556	\$ 13,031,451	\$ 204,189,287	\$ 1,293,000	

Total FTE's Responsible for Grants: 11.8
 Estimated Annual Staffing & Related S&S Costs: \$934,183